

# A TRANSCENDENTAL INVARIANT OF PSEUDO-ANOSOV MAPS

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**ABSTRACT.** For each pseudo-Anosov map  $\phi$  on surface  $S$ , we will associate it with a  $\mathbb{Q}$ -submodule of  $\mathbb{R}$ , denoted by  $A(S, \phi)$ .  $A(S, \phi)$  is defined by interaction between Thurston norm and dilatation of pseudo-Anosov map. We will develop a few nice properties of  $A(S, \phi)$  and give a few examples to show that  $A(S, \phi)$  is a nontrivial invariant. These nontrivial examples give negative answer to a question asked by McMullen.

## 1. INTRODUCTION

**1.1. Background.** Given a pseudo-Anosov map  $\phi$  on oriented surface  $S$  (possibly with boundary) with negative Euler characteristic, a natural object associated with  $(S, \phi)$  is the mapping torus  $M(S, \phi) = S \times I / (x, 0) \sim (\phi(x), 1)$ . Thurston showed that such a surface bundle over circle  $M(S, \phi)$  admits complete hyperbolic metric with finite volume ([Ot]). Recently, Wise showed that every cusped hyperbolic 3-manifold has a finite cover which is a surface bundle over circle in [Wi], while Agol showed this result for closed hyperbolic 3-manifold (Virtually Fibre Conjecture) in [Ag2]. So hyperbolic surface bundles over the circle are virtually all hyperbolic 3-manifolds with finite volume.

In this paper, we will always suppose  $b_1(M(S, \phi)) > 1$ . Then  $N = M(S, \phi)$  has infinitely many structures of surface bundle over circle. These structures are organized by the Thurston norm on  $H^1(N; \mathbb{R})$  ([Th]). For any  $\alpha \in H^1(N; \mathbb{Z})$  (or  $H_2(N, \partial N; \mathbb{Z})$  by duality), the Thurston norm of  $\alpha$  is defined by:

$$\|\alpha\| = \inf\{|\chi(T_0)| \mid (T, \partial T) \subset (N, \partial N) \text{ is dual to } \alpha\},$$

here  $T_0 \subset T$  excludes  $S^2$  and  $D^2$  components of  $T$ . Then the Thurston norm can be extended to  $H^1(N; \mathbb{R})$  homogeneously and continuously, while the unit ball of Thurston norm is a polyhedron with faces dual with elements in  $H_1(N; \mathbb{Z})/Tor$ . For an open face  $F$  of the Thurston norm unit ball, let the open cone over  $F$  denoted by  $C$ . Thurston showed that  $C$  contains an integer cohomology class that corresponds to a surface bundle over circle structure if and only if all the cohomology classes in  $C$  give such structures. In this case, the face  $F$  is called a fibered face, and  $C$  is called a fibered cone.

Another important object associated with pseudo-Anosov map  $\phi$  is the dilatation  $\lambda(\phi) \in \mathbb{Z}_{>1}$ . For pseudo-Anosov map  $\phi$ , there is a pair of transverse singular measured foliations (equivalently geodesic measured laminations)  $((\mathcal{F}^u, \mu^u), (\mathcal{F}^s, \mu^s))$ , such that  $\phi$  preserves the pair of foliations and  $\phi^*(\mu^u) = \lambda(\phi)\mu^u$ ,  $\phi^*(\mu^s) = \frac{1}{\lambda(\phi)}\mu^s$ . So for any integer cohomology class  $\alpha \in C \cap H^1(M; \mathbb{Z})$ , it is associated with a number  $\lambda(\alpha)$ , which is the dilatation of the corresponding monodromy map. For rational class  $\alpha/n \in C$ , we can define  $\lambda(\alpha/n) = \lambda(\alpha)^n$ , so  $\lambda(\cdot)$  is a positive function on  $C \cap H^1(N; \mathbb{Q})$  now.

In [Fr], Fried showed that  $\lambda(\cdot)$  can be extended to a continuous function on the fibered cone  $C$ . We will use notation  $\lambda_C(\cdot)$  when we want to emphasize the function is defined on

$C$ . Fried also showed that  $\lambda(\alpha)$  goes to infinity when  $\alpha$  goes to the boundary of  $C$ , and  $\frac{1}{\log \lambda(\cdot)}$  is a concave function on  $C$  (see [LO] for an alternative proof). Moreover, Matsumoto showed that  $\frac{1}{\log \lambda(\cdot)}$  is strictly concave along directions not passing through the original point ([Ma]). It implies that the restriction of  $\lambda(\cdot)$  on fibered face  $F$  has a unique minimal point, and we denote it by  $m_F$ .

In [McM1], McMullen defined the Teichmuller polynomial  $\Theta_F \in \mathbb{Z}[H_1(N; \mathbb{Z})/Tor]$ , which is in the form of  $\Theta_F = \sum_g a_g \cdot g$ . Using Teichmuller polynomial  $\Theta_F$ , one can compute  $\lambda(\cdot)$  effectively:  $\lambda(\alpha)$  is the largest root of the polynomial  $\sum_g a_g \cdot X^{\langle \alpha, g \rangle} = 0$  for any  $\alpha \in C$ . Using properties of Teichmuller polynomial, McMullen reproved Fried's and Matsumoto's theorem in [McM1]. We will briefly review McMullen's work in Section 2.

Knowing the existence and uniqueness of minimal point  $m_F$ , McMullen asked the following question in [McM1]:

**Question.** Is the minimum always achieved at a rational cohomology class?

In this paper, we will construct a few examples to give a negative answer to McMullen's Question.

**1.2. Main Results.** In this paper, we assume all the manifolds are oriented, all homeomorphisms are orientation preserving. We may abuse notation to use  $[S]$  to denote an element in  $H^1(N; \mathbb{Z})$  which is the dual of  $[S] \in H_2(N, \partial N; \mathbb{Z})$ . We may also use  $c$  to denote the homology class of oriented curve  $c$ .

For a pseudo-Anosov map  $\phi$  on surface  $S$ , we define a finitely generated  $\mathbb{Q}$ -submodule  $A(S, \phi)$  of  $\mathbb{R}$ . It is generated by the coordinate of  $m_F$ . More specifically, if  $\{\alpha_i\}$  is a basis of  $H^1(N; \mathbb{Z})$ , and  $m_F = \sum n_i \alpha_i$ , then we define a  $\mathbb{Q}$ -submodule of  $\mathbb{R}$ :

$$A(S, \phi) = \left\{ \sum q_i n_i \mid q_i \in \mathbb{Q} \right\}.$$

Since  $m_F$  lies on fibered face  $F$  which is dual to an integer homology class,  $\mathbb{Q}$  is always a submodule of  $A(S, \phi)$ . Essentially,  $A(S, \phi)$  is an invariant for the fibered cone  $C$ , sometimes we will also use  $A_C$  to denote  $A(S, \phi)$ . Most of the time, we will think  $A(S, \phi)$  as an invariant of pseudo-Anosov map.

In Section 3, we will show a few nice properties of our invariant  $A(S, \phi)$ . At first, in Section 3.1, we show that  $A(S, \phi)$  behaves well under taking finite cover.

**Proposition 1.1.** *For two pseudo-Anosov maps  $(S_1, \phi_1)$  and  $(S_2, \phi_2)$ , if there is another manifold  $M$  and finite covers  $p_i : M \rightarrow M(S_i, \phi_i)$ ,  $i = 1, 2$ , such that  $p_1^*([S_1])$  and  $p_2^*([S_2])$  lie in the same fibered cone of  $H^1(M; \mathbb{R})$ , then  $A(S_1, \phi_1) = A(S_2, \phi_2)$ .*

McMullen's question is equivalent to: whether  $A(S, \phi) = \mathbb{Q}$  hold for all pseudo-Anosov maps. In Section 3.2, we will show that all pseudo-Anosov maps on certain small surfaces:  $\Sigma_{0,4}, \Sigma_{1,2}, \Sigma_{2,0}$ , always have  $A(S, \phi) = \mathbb{Q}$  (here  $\Sigma_{g,n}$  denote orientable surface of genus  $g$  with  $n$  boundary components). On the other hand, in Section 5.2, 6.2 and 7, we will give examples to show that for slightly bigger surfaces:  $\Sigma_{2,1}, \Sigma_{3,0}, \Sigma_{1,3}$  and  $\Sigma_{0,5}$ , all these surfaces admit pseudo-Anosov map with  $A(S, \phi) \neq \mathbb{Q}$ .

Since different integer classes in the same fibered cone  $C$  share the same invariant  $A_C$ , we can get a lot of different pseudo-Anosov maps with  $A(S, \phi) \neq \mathbb{Q}$  if we are given one. Using the examples we have had in hand, we can deduce the following theorem:

**Theorem 1.2.** *For surfaces  $\Sigma_{g,0}$  with  $g \geq 3$  and punctured surfaces  $\Sigma_{g,1}$  with  $g \geq 2$ , all these surfaces admit pseudo-Anosov maps such that  $A(S, \phi) \neq \mathbb{Q}$ .*

Actually, the author believes that for all surfaces  $S$  with negative Euler characteristic and  $S \neq \Sigma_{0,3}, \Sigma_{0,4}, \Sigma_{1,1}, \Sigma_{1,2}, \Sigma_{2,0}$ , i.e.  $|\chi(S)| \geq 3$ , there is a pseudo-Anosov map  $\phi$  on  $S$  such that  $A(S, \phi) \neq \mathbb{Q}$ . However, the author do not have enough examples to deduce irrational pseudo-Anosov maps on all these surfaces.

In Section 3.3, we will show that the coordinate  $n_i$  will be numbers in the form of  $\log(\alpha)/\log(\beta)$ , here  $\alpha, \beta$  are algebraic numbers. By an equivalent formulation of Hilbert's seventh problem,  $\log(\alpha)/\log(\beta)$  is either a rational or a transcendental number ([FN] Theorem 3.2). So we call  $A(S, \phi)$  a transcendental invariant.

In this paper, we will study two different constructions of pseudo-Anosov maps which may give  $A(S, \phi) \neq \mathbb{Q}$ .

**First Construction.** We will give the first construction in Section 4, it is given by drilling (or cyclic branched covering) along a closed orbit of the suspension flow. Suppose  $N = M(S, \phi)$  is a closed hyperbolic surface bundle,  $c$  is a closed oriented orbit of the suspension flow. We drill the manifold  $N$  along  $c$  to get another hyperbolic surface bundle  $N \setminus c$  with natural inclusion  $i: N \setminus c \rightarrow N$ . For the dilatation function,  $\lambda(\alpha) = \lambda(i^*(\alpha))$  for  $\alpha$  in the fibered cone  $C$ . However, for Thurston norm,  $\|i^*(\alpha)\| = \|\alpha\| + \langle \alpha, c \rangle$ . The difference of Thurston norm  $\|i^*(\alpha)\|$  from  $\|\alpha\|$  depends on the cohomology class  $\alpha$ , which may produce irrationality.

The fibered cone  $C$  corresponds to a homology class  $x \in H_1(N; \mathbb{Z})$ , such that  $\|\alpha\| = \langle \alpha, x \rangle$  for any  $\alpha \in C$ . We define two closed orbits  $c_1$  and  $c_2$  to be drilling equivalent if  $c_1 + x$  is linear dependant with  $c_2 + x$  in  $H_1(N; \mathbb{Z})/Tor$ . Then we have the following Drilling Theorem.

**Theorem 1.3.** (*Drilling Theorem*) *For all but finitely many drilling equivalence classes of closed orbits, the drilling construction along these orbits give irrational minimal point.*

There is also an analogous construction by using cyclic branched cover along closed orbits and a similar theorem (Theorem 4.4). However, due to certain technical reason, the statement there is not as neat as Theorem 1.3.

In Lemma 4.5, we will show that, for any pseudo-Anosov map  $(S, \phi)$ , the mapping torus  $M(S, \phi)$  has infinitely many different drilling classes and also infinitely many branched covering classes satisfying the technical condition in Theorem 4.4. So we get a negative answer to McMullen's question for closed surfaces and surfaces with boundary.

In Section 5, we will study some simple drilling class and branched covering class for an explicit example. An alternative method of deducing irrationality of  $A(S, \phi)$  will be developed there. This method uses some algebraic number theory and numerical computation.

**Second Construction.** The second construction is given in Section 6, which comes from Penner's construction [Pe]. In his construction, Penner took two families of disjoint simple closed curves  $\{a_i\}$  and  $\{b_j\}$  on surface  $S$ , such that the union of  $\{a_i\}$  and  $\{b_j\}$  fill  $S$ . Let  $\mathcal{D}(a^+, b^-)$  be the semigroup generated by positive twists along  $a$  curves and negative twists along  $b$  curves. Take any  $\phi \in \mathcal{D}(a^+, b^-)$  such that each twist along  $\{a_i\}$  and  $\{b_j\}$  appear in the presentation of  $\phi$ , then  $\phi$  is a pseudo-Anosov map and an invariant bigon track  $\tau$  is constructed explicitly. The invariant bigon train track  $\tau$  only depends on the two families of curves  $\{a_i\}$  and  $\{b_j\}$ , but does not depend on  $\phi$ . In Proposition 6.7, we will show that for some special  $\phi \in \mathcal{D}(a^+, b^-)$ ,  $A(S, \phi) = \mathbb{Q}$  holds, while  $\phi$  does not admit symmetry coming from hyperelliptic involution. On the other hand, in Section 6.2, we will arrange the word

in the semigroup to get some  $\phi$ , and use the numerical method in Section 5 to show that  $A(S, \phi) \neq \mathbb{Q}$ .

Being an invariant of fibered cone  $C$ ,  $A_C$  is actually not an invariant of manifold. In Section 8, we will give an example that is obtained by Dehn-filling of the magic-manifold. The filled manifold has six fibered faces, one pair of them have  $A_C = \mathbb{Q}$ , while other two pairs have  $A_C \neq \mathbb{Q}$ .

**Acknowledgement:** The author is grateful to his advisor David Gabai for many helpful conversations. The author also thanks Shicheng Wang for valuable conversations, and Siyu Yang for computational assistance.

## 2. McMULLEN'S WORK ON TEICHMULLER POLYNOMIAL

In this section, we will review McMullen's work on Teichmuller Polynomial. All the material in this section can be found in [McM1].

Given a hyperbolic surface bundle over circle  $N$ , let  $F \subset H^1(N; \mathbb{R})$  be an open fibered face, McMullen defined a polynomial invariant  $\Theta_F$  called Teichmuller polynomial of  $F$ . The Teichmuller polynomial  $\Theta_F = \sum_g a_g \cdot g$  lies in the group ring  $\mathbb{Z}[G]$ , here  $G = H_1(N; \mathbb{Z})/Tor$ .

For fibered face  $F \subset H^1(N; \mathbb{R})$ , it is associated with a measured lamination  $\mathcal{L} \subset M$ , which is given by taking the suspension of the invariant stable lamination for some surface bundle structure lying in  $C = \mathbb{R}^+ \cdot F$ . Let  $p: \tilde{M} \rightarrow M$  be the maximal abelian cover with deck transformation group  $G$ , and let  $\tilde{\mathcal{L}} = p^{-1}(\mathcal{L})$ .

The module of lamination  $T(\tilde{\mathcal{L}})$  is defined in [McM1] Section 2.  $T(\tilde{\mathcal{L}})$  is a  $\mathbb{Z}$ -module generated by transversals  $[T]$  of  $\tilde{\mathcal{L}}$  modulo equivalent relations:

- 1)  $[T] = [T'] + [T'']$  if  $T$  is disjoint union of  $T'$  and  $T''$ ,
- 2)  $[T] = [T']$  if  $T$  is isotopic with  $T'$  with respect to intersection with  $\tilde{\mathcal{L}}$ .

Since  $\tilde{\mathcal{L}}$  admits  $G$ -action by deck transformation,  $T[\tilde{\mathcal{L}}]$  is a  $\mathbb{Z}[G]$ -module. Then  $\Theta_F$  is defined to be the Alexander polynomial of  $T[\tilde{\mathcal{L}}]$  as a  $\mathbb{Z}[G]$ -module.

$\Theta_F$  has a few nice properties and we will list some of them in this section.

$\Theta_F$  can be computed explicitly if one knows the monodromy  $\phi$  on some fiber surface  $S$  with  $[S] \in C$ . Let  $\tau \subset S$  be the invariant train track of  $\phi$  carrying the stable lamination with switch set  $V$  and branch set  $E$ . Let  $\tilde{S}$  be one component of  $p^{-1}(S)$  and  $\tilde{\tau} \subset \tilde{S}$  be the component of  $p^{-1}(\tau)$  lying in  $\tilde{S}$ .  $H_1(M; \mathbb{Z})/Tor$  decomposes as  $\mathbb{Z}[u] \oplus T$ , here  $u \cap [S] = 1$  and  $t \cap [S] = 0$  for any  $t \in T$ . We will always assume that we have chosen such a decomposition of  $H_1(M; \mathbb{Z})/Tor$  in this paper. There is a natural  $T$  action on  $\tilde{\tau}$ , so the module of branches of  $\tilde{\tau}$  can be identified with  $\mathbb{Z}[T]^E$  and so does the module of switches  $\mathbb{Z}[T]^V$ . Given a  $T$ -invariant collapsing  $\tilde{\phi}(\tilde{\tau}) \rightarrow \tilde{\tau}$ , we have a  $\mathbb{Z}[T]$ -module map  $P_E: \mathbb{Z}[T]^E \rightarrow \mathbb{Z}[T]^E$  with matrix  $P_E(t)$ , and also a similar map  $P_V$  on switches with matrix  $P_V(t)$ . Then McMullen showed that:

**Theorem 2.1.** ([McM1] Theorem 3.6) *The Teichmuller polynomial of the fibered face  $F$  is given by:*

$$\Theta_F(t, u) = \frac{\det(uI - P_E(t))}{\det(uI - P_V(t))}$$

when  $b_1(M) > 1$ .

Teichmuller polynomial  $\Theta_F$  also has nice symmetric property as Alexander polynomial:

**Theorem 2.2.** ([McM1] Corollary 4.3) *The Teichmuller polynomial is symmetric, i.e.*

$$\Theta_F = \sum_g a_g \cdot g = \pm h \sum_g a_g \cdot g^{-1}$$

for some unit  $h \in \mathbb{Z}[G]$ .

In this paper, the most important property of Teichmuller polynomial we will use is,  $\Theta_F$  can compute dilatation function  $\lambda(\cdot)$  effectively.

**Theorem 2.3.** ([McM1] Theorem 5.1) *The dilatation function  $\lambda(\cdot)$  satisfies*

$$\lambda(\alpha) = \sup\{k > 1 \mid 0 = \Theta_F(k^\alpha) = \sum_g a_g \cdot k^{\langle \alpha, g \rangle}\}$$

for any  $\alpha \in C$ .

*Remark 2.4.* Actually, what McMullen showed is  $\lambda(\alpha) = \sup\{|k| \mid 0 = \Theta_F(k^\alpha)\}$ . Since the supremum is assumed by a positive real number, we can state the Theorem as above.

McMullen also defined the Teichmuller norm (with respect to fibered face  $F$ ) on  $H^1(N; \mathbb{R})$ . For any  $\alpha \in H^1(N; \mathbb{R})$ , the Teichmuller norm is defined by  $\|\alpha\|_{\Theta_F} = \sup_{a_g \neq 0 \neq a_h} \langle \alpha, g - h \rangle$ . Then he proved that the Teichmuller norm  $\|\cdot\|_{\Theta_F}$  determines the fibered cone  $C$ .

**Theorem 2.5.** ([McM1] Theorem 6.1) *For any fibered face  $F$  of the Thurston norm unit ball, there exists a face  $D$  of the Teichmuller norm unit ball, i.e.  $D \subset \{\alpha \mid \|\alpha\|_{\Theta_F} = 1\}$ , such that  $\mathbb{R}_+ \cdot F = \mathbb{R}_+ \cdot D$ .*

By the formula in Theorem 2.1, we can see that  $\Theta_F(u, t)$  has a leading term  $u^d$  with coefficient 1, i.e.  $\Theta_F(u, t) = u^d + b_1(t)u^{d-1} + \cdots + b_d(t)$ . Then Theorem A.1 (C) of [McM1] implies the following immediate corollary:

**Corollary 2.6.** *For any  $\alpha \in C$ ,  $\langle \alpha, d \cdot u \rangle > \langle \alpha, g \rangle$  for any  $g$  appearing in  $\Theta_F$  other than  $u^d$ , thus  $\sum_g a_g \cdot X^{\langle \alpha, g \rangle}$  has a unique leading term  $X^{\langle \alpha, d \cdot u \rangle}$  with coefficient 1.*

### 3. PROPERTIES OF INVARIANT $A(S, \phi)$

Given a hyperbolic surface bundle over circle  $N = M(S, \phi)$ , let  $C$  be the fibered cone containing the Poincare dual of  $[S]$ , and let the corresponding fibered face be  $F$ . Let  $m_F$  be the minimal point of the restriction of function  $\lambda(\cdot)$  on the fibered face  $F$ . After choosing a basis  $\{\alpha_i\}_{i=1}^b$  of  $H^1(N; \mathbb{Z})$ , we have  $m_F = \sum_{i=1}^b n_i \alpha_i$ . Then we define our invariant to be:

$$A_C = A(S, \phi) = \left\{ \sum_{i=1}^b q_i n_i \mid q_i \in \mathbb{Q} \right\}.$$

Since there exists  $x \in H_1(N; \mathbb{Z})/\text{Tor}$  dual to fibered face  $F$ , i.e.  $\|\alpha\| = \langle \alpha, x \rangle$  for any  $\alpha \in C$ , and  $1 = \|m_F\| = \langle m_F, x \rangle$ ,  $\mathbb{Q} \subset A(S, \phi)$  always holds.

Before giving examples with  $A(S, \phi) \neq \mathbb{Q}$ , let us first investigate a few nice properties of  $A(S, \phi)$  in this section.

**3.1. Covering Property of  $A(S, \phi)$ .** Let  $p : \tilde{N} \rightarrow N$  be a finite cover, then  $p^*([S])$  gives a surface bundle over circle structure on  $\tilde{N}$ . Let the fibered cone containing  $[S]$  and  $p^*([S])$  be  $C$  and  $C'$ , and the corresponding fibered face be  $F$  and  $F'$  respectively. Let  $S'$  be one component of  $p^{-1}(S)$ , and  $\phi'$  be the corresponding monodromy. Then we have the following proposition:

**Proposition 3.1.**  $A(S, \phi) = A(S', \phi')$

We begin with showing the proposition for regular cover:

**Lemma 3.2.** *Suppose  $p : \tilde{N} \rightarrow N$  is a regular cover, then  $A(S, \phi) = A(S', \phi')$ .*

*Proof.* Let  $H$  be the deck transformation group of regular cover  $p : \tilde{N} \rightarrow N$ . Then  $p^*(H^1(N; \mathbb{R}))$  is the fixed point set of the  $H$  action, i.e.  $p^*(H^1(N; \mathbb{R})) = (H^1(\tilde{N}; \mathbb{R}))^H$ .

For any  $\alpha \in H^1(N; \mathbb{Z})$ , since it is a fibered class,  $\|p^*(\alpha)\| = \deg p \cdot \|\alpha\|$ . So the equality holds for all  $\alpha \in C$ , it implies  $\frac{1}{\deg p}p^*(F) \subset F'$ . Actually  $\frac{1}{\deg p}p^*(F) \subset (F')^H$  since  $p^*(H^1(N; \mathbb{R})) = (H^1(\tilde{N}; \mathbb{R}))^H$ .

Claim.  $\frac{1}{\deg p}p^*(F) = (F')^H$ .

Let  $x' \in H_1(\tilde{N}; \mathbb{Z})/Tor$  be the homology class dual with  $C'$ , i.e.  $\|\alpha'\| = \langle \alpha', x' \rangle$  for any  $\alpha' \in C'$ . Then  $\frac{1}{\deg p}p_*(x')$  is dual to  $C$ , since  $\|\alpha\| = \frac{1}{\deg p}\|p^*(\alpha)\| = \frac{\langle p^*(\alpha), x' \rangle}{\deg p} = \frac{\langle \alpha, p_*(x') \rangle}{\deg p}$  for any  $\alpha \in C$ . For any  $\beta' \in (F')^H$ , let  $\beta' = p^*(\beta)$ . Then  $\|\beta\| = \frac{1}{\deg p}\|p^*(\beta)\| = \frac{1}{\deg p}\langle p^*(\beta), x' \rangle = \langle \beta, \frac{1}{\deg p}p_*(x') \rangle$ . So  $\beta \in C$ , thus  $\beta' \in p^*(C)$ . By considering Thurston norm of  $\beta'$ ,  $\beta' \in \frac{1}{\deg p}p^*(F)$  holds immediately.

Since the dilatation function  $\lambda(\cdot)$  is invariant under  $H$  action, i.e.  $\lambda(\alpha') = \lambda(h^*(\alpha'))$  for  $\alpha' \in C'$ , the minimal point  $m'_F \in (F')^H$ . So it suffices to find the minimal point of the restriction of dilatation function on  $(F')^H = \frac{1}{\deg p}p^*(F)$ .

On the other hand, since it is easy to check  $\lambda(p^*(\alpha)) = \lambda(\alpha)$  holds for integer class  $\alpha \in C$ , it holds for any  $\alpha \in C$ . So  $\frac{1}{\deg p}p^*(m_F)$  is the minimal point of the restriction of dilatation function on  $\frac{1}{\deg p}p^*(F)$ , i.e.  $\frac{1}{\deg p}p^*(m_F) = m'_F$ .

Since  $p^*$  is represented by an integer matrix under integer basis of  $H^1(N; \mathbb{Z})$  and  $H^1(\tilde{N}; \mathbb{Z})$ , the coordinates of  $m_F$  and  $m'_F$  give the same  $\mathbb{Q}$ -module, i.e.  $A(S, \phi) = A(S', \phi')$ .  $\square$

*Proof of Proposition 3.1:* We can take a further finite cover  $p' : \tilde{\tilde{N}} \rightarrow \tilde{N}$  such that  $p'' : \tilde{\tilde{N}} \rightarrow N$  is a regular cover. Let  $C''$ ,  $F''$  and  $m''_F$  be the corresponding fibered cone, fibered face of  $N''$  and minimal point on  $F''$ .

By Lemma 3.2, we have  $m''_F = \frac{1}{\deg p''}p''^*(m_F)$ . Since

$$m''_F = \frac{1}{\deg p''}p''^*(m_F) = \frac{1}{\deg p'}p'^*\left(\frac{1}{\deg p}p^*(m_F)\right)$$

and  $\frac{1}{\deg p'}p'^*(F') \subset F''$ , we have that  $\frac{1}{\deg p}p^*(m_F)$  is the minimal point on the fibered face  $F'$ , i.e.  $m'_F = \frac{1}{\deg p}p^*(m_F)$ . So we have  $A(S, \phi) = A(S', \phi')$ .  $\square$

Comparing with Definitions in [CSW], we give the following definition:

**Definition 3.3.** Two maps  $(S_1, \phi_1)$  and  $(S_2, \phi_2)$  are said to be *fibered cone commensurable* if there is another manifold  $M$ , with finite covers  $p_i : M \rightarrow M(S_i, \phi_i)$ ,  $i = 1, 2$ , such that  $p_1^*([S_1])$  and  $p_2^*([S_2])$  lie in the same fibered cone of  $H^1(M; \mathbb{R})$ .

We have the following immediate Corollary of Proposition 3.1, which rephrases Proposition 1.1.

**Corollary 3.4.** *If two pseudo-Anosov maps  $(S_1, \phi_1)$  and  $(S_2, \phi_2)$  are fibered cone commensurable, then  $A(S_1, \phi_1) = A(S_2, \phi_2)$ .*

**3.2. Symmetry Implies Rationality.** Using the symmetry of group action, we can deduce  $A(S, \phi) = \mathbb{Q}$  in a few simple cases.

**Proposition 3.5.** *Suppose  $\phi$  is a pseudo-Anosov map on surface  $S$ , and  $\phi$  commutes with an involution  $\tau$  with  $\tau_* = -id$  on  $H_1(S, \partial S; \mathbb{Z})$ . Then  $A(S, \phi) = \mathbb{Q}$ .*

*Proof.* On 3-manifold  $N = M(S, \phi) = S \times I / (x, 0) \sim (\phi(x), 1)$ , we can define involution  $\bar{\tau}$  on  $N$  by  $\bar{\tau}(x, t) = (\tau(x), t)$ .  $\bar{\tau}$  is well-defined since  $\phi$  commutes with  $\tau$ .

Let  $\pi : N \rightarrow S^1$  gives the surface bundle structure of  $M(S, \phi)$ . Let  $(t_1, t_2, \dots, t_k, u)$  be a basis of  $H_1(N, \partial N; \mathbb{Z})/Tor$ , such that  $\pi_*(t_i) = 0$ . Then  $\bar{\tau}_*(t_i) = -t_i$ , while  $\bar{\tau}_*(u) = u$ . Let  $(\alpha_1, \dots, \alpha_k, [S])$  be the dual basis in  $H^1(N; \mathbb{Z})$ , then  $\bar{\tau}^*(\alpha_i) = -\alpha_i$ , while  $\bar{\tau}^*([S]) = [S]$ .

Let  $C$  be the fibered cone containing  $[S]$  and  $F$  be the corresponding fibered face. Since  $\frac{[S]}{\|[S]\|}$  is the unique fixed point of the  $\bar{\tau}^*$  action on  $F$ , and  $\|\frac{[S]}{\|[S]\|}\| = 1$ , we have  $m_F = \frac{[S]}{\|[S]\|}$ , which is a rational class. So  $A(S, \phi) = \mathbb{Q}$ .  $\square$

Pseudo-Anosov maps which commute with the hyperelliptic involution on closed surfaces are closely related with pseudo-Anosov braids, which are specialized interesting. So we point out the following immediately corollary.

**Corollary 3.6.** *For any pseudo-Anosov map  $\phi$  on surface  $S$  which commutes with the hyperelliptic involution  $\tau$ , we have  $A(S, \phi) = \mathbb{Q}$ .*

**Corollary 3.7.** *For any pseudo-Anosov map  $\phi$  on closed surface  $S = \Sigma_{2,0}$  or  $\Sigma_{1,2}$  or  $\Sigma_{0,4}$ ,  $A(S, \phi) = \mathbb{Q}$ .*

*Proof.* By Proposition 3.1,  $A(S, \phi)$  is invariant by taking powers of  $\phi$ , so we assume  $\phi$  lies in the pure mapping class group, i.e. the mapping classes send each boundary component of the surface to itself.

By [FM] Section 4.4.4, the pure mapping class groups of  $\Sigma_{2,0}, \Sigma_{1,2}$  are generated by Dehn twists along simple closed curves  $\gamma_1, \gamma_2, \gamma_3$  in Figure 1 (a), (b). It is also well known that the pure mapping class group of  $\Sigma_{0,4}$  is generated by twists along simple closed curves  $\gamma_1, \gamma_2$  in Figure 1 (c).

It is easy to see that the involutions  $\tau$  ( $\pi$  rotation) in Figure 1 (a), (b) commute with the whole pure mapping class group for  $\Sigma_{2,0}$  and  $\Sigma_{1,2}$  and  $\tau_* = -id$ . So by Proposition 3.5,  $A(S, \phi) = \mathbb{Q}$ .

Although  $\Sigma_{0,4}$  does not admit an involution with  $\tau_* = -id$ , it admits two involutions  $\tau_1$  and  $\tau_2$  both commute with the pure mapping class group (see Figure 1 (c)). These two involutions give an  $\mathbb{Z}_2 \oplus \mathbb{Z}_2$  action on  $N = M(S, \phi)$ , and an action on fibered face  $F$ . The minimal point  $m_F$  is the unique fixed point of this  $\mathbb{Z}_2 \oplus \mathbb{Z}_2$  action on  $F$ . So  $A(S, \phi) = \mathbb{Q}$ .  $\square$

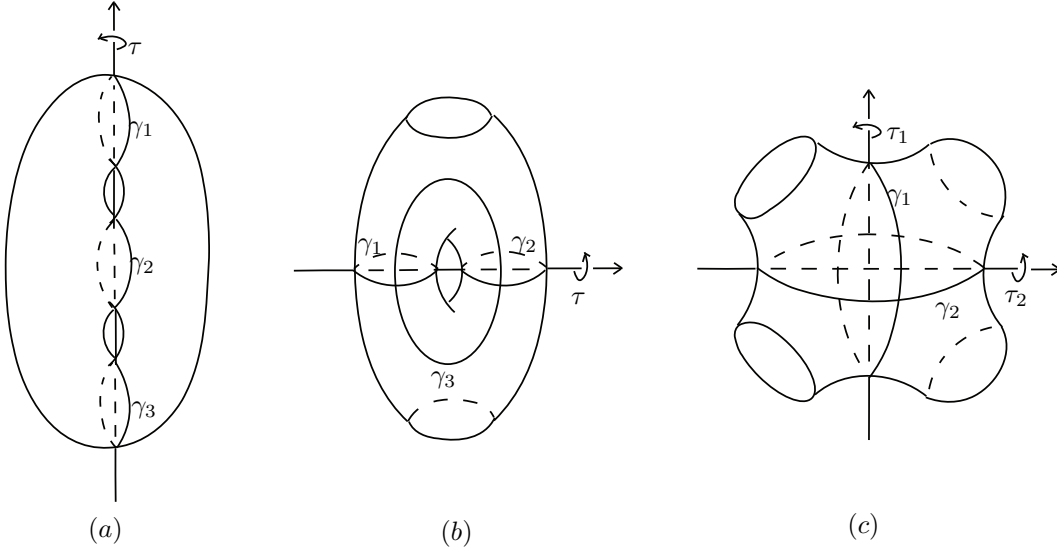


Figure 1

A quick but interesting corollary of Corollary 3.4 and Corollary 3.7 is the following:

**Corollary 3.8.** *For closed surface  $S$ , if  $A(S, \phi) \neq \mathbb{Q}$ ,*

*(a)  $(S, \phi)$  is not fibered cone commensurable with any pseudo-Anosov map on  $\Sigma_{2,0}$ ;*

*(b) for any pseudo-Anosov map  $(S', \phi')$  with  $b_1(M(S', \phi')) = 1$ ,  $(S, \phi)$  is not fibered cone commensurable with  $(S', \phi')$ .*

**3.3. Irrationality Implies Transcendentality.** The following theorem in number theory is an equivalent formulation of Hilbert's Seventh Problem (which explains why logarithm function is called transcendental function):

**Theorem 3.9.** ([FN] Theorem 3.2) *Let  $\alpha, \beta, \gamma$  be algebraic numbers, and  $\alpha\beta \ln \beta \neq 0$ , with  $\gamma = \frac{\ln \alpha}{\ln \beta}$ , then  $\gamma \in \mathbb{Q}$ .*

This theorem implies that all the irrational elements of  $A(S, \phi)$  are transcendental numbers:

**Proposition 3.10.** *Suppose that  $A(S, \phi) \neq \mathbb{Q}$ , then for any  $\omega \in A(S, \phi) \setminus \mathbb{Q}$ ,  $\omega$  is a transcendental number.*

*Proof.* Take a basis  $(\alpha_1, \dots, \alpha_b)$  of  $H^1(N; \mathbb{Z})$ , and a dual basis  $(x_1, \dots, x_b)$  of  $H_1(N; \mathbb{Z})/\text{Tor}$  (here  $b = b_1(M)$ ). Also take a basis  $(v_1, \dots, v_{b-1})$  of the tangent space  $T_{m_F}F$ . Let the Teichmüller polynomial  $\Theta_F = \sum_g a_g \cdot g$ , and let each  $g$  be expressed as  $g = \sum_{i=1}^b g_i x_i$ .

Let  $m_F = \sum_{i=1}^b n_i \alpha_i$  be the minimal point of the restriction of  $\lambda(\cdot)$  on fibered face  $F$ . Since  $\|m_F\| = 1$ , for  $x = \sum_{i=1}^b m_i x_i \in H_1(N; \mathbb{Z})/\text{Tor}$  dual to fibered face  $F$ , we have  $\|m_F\| = \langle m_F, x \rangle = \sum_{i=1}^b n_i \cdot m_i = 1$ , where  $m_i \in \mathbb{Z}$ .

Let  $\lambda_0 = \lambda(m_F)$ . Then by Theorem 2.3,

$$0 = \sum_g a_g \lambda_0^{\langle m_F, g \rangle} = \sum_g a_g \prod_{i=1}^b (\lambda_0^{n_i})^{g_i}.$$

Since  $m_F$  is the minimal point, by taking derivatives along direction  $v_i, i = 1, \dots, b-1$ , we get

$$0 = \sum_g a_g \langle v_i, g \rangle \lambda_0^{\langle m_F, g \rangle} = \sum_g (a_g \langle v_i, g \rangle \prod_{i=1}^b (\lambda_0^{n_i})^{g_i}), \quad i = 1, \dots, b-1.$$

So  $(\lambda_0^{n_1}, \dots, \lambda_0^{n_b})$  is a solution of polynomial equation:

$$\begin{cases} \sum_g a_g X_1^{g_1} \dots X_b^{g_b} = 0, \\ \sum_g a_g \langle v_1, g \rangle X_1^{g_1} \dots X_b^{g_b} = 0, \\ \dots \\ \sum_g a_g \langle v_{b-1}, g \rangle X_1^{g_1} \dots X_b^{g_b} = 0. \end{cases}$$

Since the minimal point  $m_F$  is unique, these equations are independent, and one of the solution is  $(\lambda_0^{n_1}, \dots, \lambda_0^{n_b})$ . Solving the equation by eliminating free variables inductively, we know that  $\lambda_0^{n_1}, \dots, \lambda_0^{n_b}$  are all algebraic numbers. For any element  $r \in A(S, \phi)$ , if  $r = \sum_{i=1}^b q_i \cdot n_i$  with  $q_i \in \mathbb{Q}$ , then

$$r = \frac{\ln(\prod_{i=1}^b (\lambda_0^{n_i})^{q_i})}{\ln \lambda_0} = \frac{\ln(\prod_{i=1}^b (\lambda_0^{n_i})^{q_i})}{\ln(\prod_{i=1}^b (\lambda_0^{n_i})^{m_i})}.$$

Since  $\lambda_0 = \lambda(m_F)$  is a positive real number,  $\lambda_0^{n_i}$  are all positive real algebraic numbers. For  $q_i, m_i \in \mathbb{Q}$ ,  $\prod_{i=1}^b (\lambda_0^{n_i})^{q_i}$  and  $\prod_{i=1}^b (\lambda_0^{n_i})^{m_i}$  are both positive real algebraic numbers. By Theorem 3.9,  $r$  is either a rational number or a transcendental number.  $\square$

Given Proposition 3.10, it makes sense that we call  $A(S, \phi)$  a transcendental invariant of pseudo-Anosov maps.

#### 4. DRILLING AND BRANCHED COVERING THEOREM

**4.1. Drilling Theorem.** Given a pseudo-Anosov map  $\phi$  on closed surface  $S$ , it is associated with a hyperbolic surface bundle  $N = M(S, \phi) = S \times I / (x, 0) \sim (\phi(x), 1)$  and a suspension flow on  $N$ . We will call a closed orbit of the suspension flow a *primitive closed orbit* if it goes around a circle only once, and we sometimes only call it a closed orbit. If we want to talk about a closed orbit that goes around a circle more than once, we will call it a *nonprimitive closed orbit*.

Take a primitive closed orbit  $c \subset N$  of the suspension flow on  $N = M(S, \phi)$ . Let the manifold given by drilling  $N$  along  $c$  be  $N_c = N \setminus c$ , which is also a hyperbolic surface bundle. Let  $S_c = S \setminus (S \cap c)$ , and  $\phi_c = \phi|_{S_c}$ , then we have  $N_c = M(S_c, \phi_c)$ . There is a natural inclusion  $i_c : N_c \rightarrow N$ .

**Lemma 4.1.**  $i_c^* : H^1(N; \mathbb{R}) \rightarrow H^1(N_c; \mathbb{R})$  is an isomorphism.

*Proof.* In the proof of this Lemma, all the (co)homology groups have  $\mathbb{R}$ -coefficients, and we will omit the coefficient.

Since  $N = N_c \cup_{T^2} D^2 \times S^1$ , we have M-V sequence

$$0 \rightarrow H^1(N) \rightarrow H^1(N_c) \oplus H^1(D^2 \times S^1) \rightarrow H^1(T^2) \rightarrow \dots$$

Since  $H^1(D^2 \times S^1) \rightarrow H^1(T^2)$  is injective,  $i_c^* : H^1(N) \rightarrow H^1(N_c)$  is injective. So it suffice to show  $H^1(N)$  and  $H^1(N_c)$  have the same dimension. By Lefschetz duality, we need only to show that  $H_2(N)$  and  $H_2(N_c, \partial N_c)$  have the same dimension.

By excision, we have  $H_2(N_c, \partial N_c) \cong H_2(N, c)$ . By M-V sequence

$$0 \rightarrow H_2(N) \rightarrow H_2(N, c) \rightarrow H_1(c) \rightarrow H_1(N) \rightarrow \cdots,$$

and  $H_1(c) \rightarrow H_1(N)$  is injective,  $H_2(N) \cong H_2(N, c) \cong H_2(N_c, \partial N_c)$ .  $\square$

In general, if  $S$  is not closed,  $\dim(H^1(N_c))$  maybe greater than  $\dim(H^1(N))$ .

Let  $C \subset H^1(N; \mathbb{R})$  and  $C' \subset H^1(N_c; \mathbb{R})$  be the fibered cone containing the dual of  $[S]$  and  $[S_c]$ , while  $F$  and  $F'$  be the corresponding fibered face respectively. Since  $i_c^*$  is an isomorphism, and  $i_c^*([S]) = [S_c]$ , as the proof of Lemma 3.2, we have  $i_c^*(C) = C'$ .

For any  $\alpha \in C$ , it is easy to see that  $\lambda_C(\alpha) = \lambda_{C'}(i_c^*(\alpha))$ , since it holds for integer classes. Let  $x \in H_1(N; \mathbb{Z})$  be the dual of fibered cone  $C$ , i.e. for any  $\alpha \in C$ ,  $\|\alpha\| = \langle \alpha, x \rangle$  holds. Choose an orientation on  $c$  such that  $c$  intersects  $[S]$  positively, and let the homology class of  $c$  also denoted by  $c$ . Then we have  $\|i_c^*(\alpha)\| = \|\alpha\| + \langle \alpha, c \rangle = \langle \alpha, c + x \rangle$  for any  $\alpha \in C$ . This equality implies that although  $i_c^*(C) = C'$ ,  $i_c^*(F) \neq F'$ .

Let  $F_c$  denote  $(i_c^*)^{-1}(F') \subset H^1(N; \mathbb{R})$  and  $m_{F_c}$  be the minimal point of the restriction of  $\lambda_C$  on  $F_c$ , then we have  $i_c^*(m_{F_c}) = m_{F'}$ . So to compute  $A(S', \phi')$ , we need only to compute the coordinate of  $m_{F_c}$ . Actually, if  $x$  and  $c$  are linear dependent,  $F_c$  is parallel to  $F$ , thus  $m_{F_c}$  is a scaling of  $m_F$ . Otherwise,  $F_c$  is tilted with respect to  $F$ , and the number theoretical property of  $m_{F_c}$  and  $m_F$  can be quite different.

Let  $c_1$  and  $c_2$  be two different oriented closed orbits of suspension flow, and both of them intersect  $[S]$  positively. We say  $c_1$  and  $c_2$  are *drilling equivalent* if  $x + c_1$  is linear dependent with  $x + c_2$ . In this case,  $F_{c_1}$  is parallel with  $F_{c_2}$ , so  $m_{F_{c_1}}$  is a scaling of  $m_{F_{c_2}}$  and  $A(S_{c_1}, \phi_{c_1}) = A(S_{c_2}, \phi_{c_2})$ . Then we have the following *Drilling Theorem*:

**Theorem 4.2.** *For all but finitely many drilling equivalent classes  $c$ , we have  $A(S_c, \phi_c) \neq \mathbb{Q}$ .*

*Proof.* For a drilling class  $c$ , we have  $F_c = \{\alpha \in C \mid \langle \alpha, c + x \rangle = 1\}$ . Let  $m_{F_c}$  be the minimal point of the restriction of  $\lambda(\cdot)$  on  $F_c$ .

Let Teichmuller polynomial  $\Theta_F = \sum_g a_g \cdot g$ , then by Theorem 2.3,

$$\sum_g a_g \lambda(m_{F_c})^{\langle m_{F_c}, g \rangle} = 0.$$

Let  $b = b_1(N)$ , take a basis  $(v_1, \dots, v_{b-1})$  of tangent plane  $T_{m_{F_c}} F_c$ . Since  $m_{F_c}$  is the minimal point, by taking derivative along direction  $v_i$ , we also have equations

$$\sum_g a_g \langle v_i, g \rangle \lambda(m_{F_c})^{\langle m_{F_c}, g \rangle} = 0, \quad i = 1, \dots, b-1.$$

Let  $(\alpha_1, \dots, \alpha_b)$  be a basis of  $H^1(N; \mathbb{Z})$ , and  $(x_1, \dots, x_b)$  be the dual basis of  $H_1(N; \mathbb{Z})/Tor$ . Suppose  $m_{F_c}$  is a rational class, then  $m_{F_c} = \sum_{i=1}^b \frac{p_i}{q_c} \alpha_i$ , with  $p_i, q_c \in \mathbb{Z}$  and  $\gcd(p_1, \dots, p_b, q_c) = 1$ . Since  $\langle m_{F_c}, x \rangle = 1$ ,  $\gcd(p_1, \dots, p_b) = 1$  holds. For each  $g$  appeared in the Teichmuller polynomial, let  $g = \sum_{i=1}^b g_i x_i$ , here  $g_i \in \mathbb{Z}$ .

Then we have that  $(\lambda(m_{F_c})^{\frac{p_1}{q_c}}, \dots, \lambda(m_{F_c})^{\frac{p_b}{q_c}})$  is a solution of equation:

$$\begin{cases} \sum a_g X_1^{g_1} \cdots X_b^{g_b} = 0, \\ \sum a_g \langle v_1, g \rangle X_1^{g_1} \cdots X_b^{g_b} = 0, \\ \dots \\ \sum a_g \langle v_{b-1}, g \rangle X_1^{g_1} \cdots X_b^{g_b} = 0. \end{cases}$$

Here only  $v_1, \dots, v_{b-1}$  depend on the drilling class  $c$ , thus only the coefficients of the equation depend on  $c$ , the degrees do not. By solving the equation by eliminating free variables inductively, we know number field  $\mathbb{F} = \mathbb{Q}(\lambda(m_{F_c})^{\frac{p_1}{q_c}}, \dots, \lambda(m_{F_c})^{\frac{p_b}{q_c}})$  is a finite extension over  $\mathbb{Q}$  with  $[\mathbb{F} : \mathbb{Q}] < D$  for some  $D$ . Here  $D$  only depends on  $\Theta_F$ , but does not depend on  $c$ . Since  $\gcd(p_1, \dots, p_b) = 1$ , we have  $\mathbb{F} = \mathbb{Q}(\lambda(m_{F_c})^{\frac{1}{q_c}})$ , so  $\deg(\lambda(m_{F_c})^{\frac{1}{q_c}}) < D$ .

Since  $\lambda_c = \lambda(m_{F_c})^{\frac{1}{q_c}}$  is the largest root of the Teichmüller polynomial  $\Theta_F$  at  $(p_1, \dots, p_b)$  (Remark 2.4), i.e. it is the largest root of  $\sum a_g X^{\langle q_c m_{F_c}, g \rangle} = 0$ , all the algebraic conjugations of  $\lambda_c$  has modulus smaller or equal to  $\lambda_c$ . On the other hand, by Corollary 2.6,  $\sum_g a_g X^{\langle q_c m_{F_c}, g \rangle}$  has a unique leading term  $X^{\langle q_c m_{F_c}, d \cdot u \rangle}$  with coefficient 1 while all the terms have integer coefficients and integer powers. So  $\lambda_c < \sum_g |a_g| = D'$ , and  $\lambda_c$  is an algebraic integer.

Given  $D, D' \in \mathbb{Z}_+$ , there are only finitely many algebraic integers  $\lambda$ , such that  $|\lambda| < D'$ ,  $\deg(\lambda) < D$ , and  $\lambda$  has the greatest modulus among its algebraic conjugations. This is because the minimal polynomial of  $\lambda$  has bounded degree and the coefficients are also bounded.

So if there are infinitely many drilling classes  $c$  have  $A(S_c, \phi_c) = \mathbb{Q}$ , then there are infinitely many drilling classes  $c_1, c_2, \dots$  such that  $\lambda(m_{F_{c_i}})^{\frac{1}{q_{c_i}}} = \lambda_{c_i} = \lambda_0$ . Here  $m_{F_{c_i}}$  is the minimal point of  $\lambda(\cdot)$  on  $F_{c_i}$ ,  $q_{c_i} m_{F_{c_i}}$  is an integer class with  $\lambda(q_{c_i} m_{F_{c_i}}) = \lambda_0$ . Since  $q_{c_i} F_{c_i}$  is the tangent plane of hypersurface  $\{\alpha \in C \mid \lambda(\alpha) = \lambda_0\}$  with tangent point  $q_{c_i} m_{F_{c_i}}$ , different drilling classes  $c_i$  correspond to different integer points  $q_{c_i} m_{F_{c_i}}$ . So there are infinitely many integer points  $q_{c_i} m_{F_{c_i}}$  on the hypersurface  $\lambda(\alpha) = \lambda_0$ .

However, we claim that for any fixed  $\lambda_0 \in \mathbb{R}_+$ , there are at most finitely many integer points on the hypersurface  $\lambda(\alpha) = \lambda_0$  which correspond to  $q_c m_{F_c}$  for some drilling class  $c$ , thus get a contradiction.

*Proof of Claim:* Suppose there are infinitely many integer points  $\alpha \in C$  with  $\lambda(\alpha) = \lambda_0$  and correspond with  $q_c m_{F_c}$ .

Let the fibered cone  $C$  be equal to  $\{x \in H^1(N, \mathbb{R}) \mid p_i(x) > 0, i = 1, \dots, n\}$ , here each  $p_i$  is a linear function with integer coefficients (integer homology class). Take an integer point  $\beta \in C$  such that  $\lambda(\beta) = \lambda_0$ , let  $P_i = p_i(\beta)$ . Let  $C' = \{\alpha \in C \mid p_i(\alpha) \geq P_i, i = 1, \dots, n\}$ .

Suppose there is an integer point  $\beta' \in C'$ ,  $\beta' \neq \beta$  such that  $\lambda(\beta') = \lambda_0$ , and  $\beta' = q_c m_{F_c}$  for some drilling class  $c$ . Since  $\beta' \in C'$ , we have  $p_i(\beta' - \beta) = p_i(\beta') - P_i \geq 0$ . Let  $\bar{C}$  be the closure of  $C$ , then  $\bar{C} = \{x \in H^1(N, \mathbb{R}) \mid p_i(x) \geq 0, i = 1, \dots, n\}$ , we have  $\beta' - \beta \in \bar{C}$ . Since  $\beta \in C$  and  $\beta \neq \beta'$ , so  $\langle \beta, c + x \rangle > 0$ , and  $\langle \beta' - \beta, c + x \rangle > 0$  for any closed orbit  $c$ .

Since  $\beta' = q_c m_{F_c}$ ,  $\beta'$  is the minimal point of the restriction of  $\lambda(\cdot)$  on  $q_c F_c = \{\alpha \in C \mid \langle \alpha, c + x \rangle = \langle \beta', c + x \rangle\}$ . However  $\lambda(\frac{\langle \beta', c + x \rangle}{\langle \beta, c + x \rangle} \beta) = \lambda(\beta)^{\frac{\langle \beta', c + x \rangle}{\langle \beta, c + x \rangle}} < \lambda(\beta) = \lambda(\beta')$ , while  $\frac{\langle \beta', c + x \rangle}{\langle \beta, c + x \rangle} \beta \in \{\alpha \in C \mid \langle \alpha, c + x \rangle = \langle \beta', c + x \rangle\}$ . It contradicts with the fact that  $\beta'$  is the minimal point of  $\lambda(\cdot)$  on  $q_c F_c$ .

So for any integer point  $\beta' \in C$  satisfying the condition in the claim, we have  $p_i(\beta') = j$ , for some  $i \in \{1, \dots, n\}$  and  $j \in \{1, \dots, P_i - 1\}$ , or  $\beta' = \beta$ . Since there are infinitely many such integer points, infinitely many of them satisfy  $p_{i_0}(\alpha) = j_0$ . Here  $\{\alpha \in C \mid p_{i_0}(\alpha) = j_0\}$  is a codimension 1 hyperplane of  $C$ .

The argument used above can be repeated inductively to reduce the dimension. Finally we can reduce to the case that there is a ray  $\mathcal{R} \subset C$  which contains infinitely many integer

points  $\alpha$  with  $\lambda(\alpha) = \lambda_0$ . However, since  $\frac{1}{\log \lambda(\cdot)}$  is either strictly concave or linear (non constant) on rays ([Ma], [McM1]), there are at most two points on  $\mathcal{R}$  assuming  $\lambda_0$  by function  $\lambda(\cdot)$ , and we get a contradiction.  $\square$

**4.2. Branched Covering Theorem.** An analogy of the drilling construction is the following branched covering construction. Branched covering constructions give pseudo-Anosov maps on closed surfaces.

Let  $N = M(S, \phi)$  be a closed hyperbolic surface bundle, let  $c$  be an oriented closed orbit of the suspension flow, and  $d(c)$  be the greatest common divisor of the coordinate of  $c$  in  $H_1(N; \mathbb{Z})/Tor$ . If  $\gcd(Tor(H_1(N)), d(c)) = 1$ , we can construct a  $d(c)$ -sheets cyclic branched cover of  $N$  along  $c$ . To make this construction, we will first construct a cyclic cover of  $N \setminus c$ , then fill in a solid torus.

We have the following long exact sequence (with coefficients in  $\mathbb{Z}$ ):

$$H_2(D^2 \times S^1) \oplus H_2(N \setminus c) \rightarrow H_2(N) \rightarrow H_1(T^2) \rightarrow H_1(D^2 \times S^1) \oplus H_1(N \setminus c) \rightarrow H_1(N).$$

For any  $\alpha \in H_2(N)$ , it is in the image of the first map in the exact sequence if and only if  $\alpha \cap c = 0$ . Since  $H_2(N) \cong H^1(N) \cong Hom(H_1(N), \mathbb{Z})$ , we know that for any  $\alpha \in H_2(N)$ ,  $d(c)$  is a divisor of  $\alpha \cap c$ , and there exists  $\alpha_0 \in H_2(N)$  such that  $\alpha_0 \cap c = d(c)$ . So the image of the second map is  $\{kd(c)[m] \mid k \in \mathbb{Z}\}$ , here  $[m]$  is the meridian class of the solid torus neighborhood of  $c$ . So  $[m]$  is a torsion element in  $H_1(N_c)$  with order  $d(c)$ .

If  $\gcd(Tor(H_1(N)), d(c)) = 1$ , then  $[m]$  lies in a direct summand  $A$  of  $H_1(N \setminus c)$  of order  $k \cdot d(c)$ , here  $\gcd(k, d(c)) = 1$ . So  $A = \mathbb{Z}_{d(c)} \oplus A'$ . Then we can define a map  $H_1(N \setminus c) \rightarrow A \rightarrow \mathbb{Z}_{d(c)}$ , which gives a cyclic cover of  $N \setminus c$ , thus a cyclic branched cover  $p^c : N^c \rightarrow N$  along  $c$  of degree  $d(c)$ . Let  $S^c = (p^c)^{-1}(S)$  (which is connected), then  $S^c$  gives a surface bundle structure of  $N^c$ , and let  $\phi^c$  be the corresponding pseudo-Anosov monodromy.

*Remark 4.3.* We can also get some other cyclic branched covers of  $N$  along  $c$  even if  $\gcd(Tor(H_1(N)), d(c)) \neq 1$ , but the covering degree can not be computed simply by the homology class of  $c$ .

As the definition of drilling equivalent class, we will define branched covering equivalent class here. For two different closed orbits of suspension flow  $c_1$  and  $c_2$ , we call  $c_1$  and  $c_2$  be *branched covering equivalent* if  $d(c_1)x + (d(c_1) - 1)c_1$  is linear dependent with  $d(c_2)x + (d(c_2) - 1)c_2$ .

Then we have the following *Branched Covering Theorem*

**Theorem 4.4.** *For all but finitely many branched covering classes  $c$  satisfying  $d(c) > 1$  and  $\gcd(d(c), Tor(H_1(N; \mathbb{Z}))) = 1$ , we have  $A(S^c, \phi^c) \neq \mathbb{Q}$ .*

*Proof.* Let  $C$  be the fibered cone in  $H^1(N; \mathbb{R})$  containing the dual of  $[S]$  and  $F$  be the corresponding fibered face.  $F'$  and  $C'$  are defined similarly for  $[S^c]$ .

Since  $p^c : N^c \rightarrow N$  is a  $d(c)$ -sheet cyclic branched covering, we have an  $H = \mathbb{Z}_{d(c)}$  action on  $N^c$ . As the regular covering case (Lemma 3.2), we have that  $H^1(N^c; \mathbb{R})^H = (p^c)^*(H^1(N; \mathbb{R}))$ . Since the  $H$ -action fixes  $[S^c]$ ,  $C'$  and  $F'$  are both invariant subsets of the  $H$  action. Since the minimal point is unique, we have  $m_{F'} \in (F')^G$ .

For primitive element  $\alpha \in H^1(N; \mathbb{Z}) \cap C$  with dual surface  $S_\alpha$ , the dual surface  $S_\alpha^c$  of  $(p^c)^*(\alpha)$  is a  $d(c)$ -sheet cyclic branched cover of surface  $S_\alpha$  and the monodromy on  $S_\alpha^c$  is a lifting of monodromy of  $S_\alpha$ . We have  $\lambda(p^*(\alpha)) = \lambda(\alpha)$ , so the equality holds for any  $\alpha \in C$ .

One the other hand, by Riemann-Hurwitz formula,  $\|(p^c)^*(\alpha)\| = \langle \alpha, (d(c) - 1)c + d(c)x \rangle$  for all  $\alpha \in H^1(N; \mathbb{Z}) \cap C$ . So it also holds for any  $\alpha \in C$ .

As the proof of Lemma 3.2, the cone over  $(F')^G$  is equal to the cone over  $(p^c)^*(F)$ . By considering Thurston norm,  $((p^c)^*)^{-1}((F')^G) = \{\alpha \in C \mid \langle \alpha, (d(c) - 1)c + d(c)x \rangle = 1\}$ , and we denote it by  $F^c$ . Since  $\lambda((p^c)^*(\alpha)) = \lambda(\alpha)$ , the minimal point  $m_{F^c}$  of  $\lambda(\cdot)$  on  $F^c$  satisfies  $(p^c)^*(m_{F^c}) = m_{F'}$ . So we need only to show that for all but infinitely many branched covering classes  $c$  satisfying the assumption of the theorem,  $m_{F^c}$  is irrational. The remaining part of the proof is same with the argument in Theorem 4.2.  $\square$

**4.3. Infinitely Many Closed Orbit Classes.** To make the Drilling Theorem and Branched Covering Theorem really gives us drilling class and branched covering class  $c$  which gives irrational invariant, we need to show that, for any pseudo-Anosov map, there are infinitely many different drilling classes and branched covering classes satisfying the condition in the Branched Covering Theorem. We have the following lemma which helps us to find enough closed orbits of suspension flow. The proof of this Lemma is quite tedious, but the author can not find a proper literature about it.

**Lemma 4.5.** *For any pseudo-Anosov map  $\phi$  on surface  $S$ ,*

- (a) *there exists infinitely many different drilling classes in  $N = M(S, \phi)$ ;*
- (b) *there exists infinitely many different branched covering classes in  $N = M(S, \phi)$  satisfy the condition of Branched Covering Theorem.*

*Proof.* Let  $H_1(N; \mathbb{Z})/Tor = \mathbb{Z}[u] \oplus T$ , here  $[u]$  gives the  $S^1$ -direction and  $T$  is the image of  $H_1(S; \mathbb{Z})$ . Let  $p: \tilde{N} \rightarrow N$  be the maximal abelian cover and  $\tilde{S}$  be one component of  $p^{-1}(S)$ . Let  $x = (n_0, t_0)$  be the homology class dual with fibered face  $F$ , here  $n_0 > 0$ .

Take a Markov partition (rectangle partition)  $\mathcal{R} = \{R_i\}_{i=1}^k$  of surface  $S$ , the transition matrix  $M_{k \times k}$  is defined by  $M_{i,j} = |\phi^{-1}(int(R_j)) \cap R_i|$ . Then  $M$  is a Perron-Frobenius matrix (see [CB] and [FLP] Expose 10), which means there exists  $N > 0$  such that for all  $n \geq N$ , all the entries of  $M^n$  are positive integers. Furthermore, we can lift this Markov partition to a Markov partition on  $\tilde{S}$  with  $\tilde{\mathcal{R}} = T \cdot \{\tilde{R}_i\}_{i=1}^k$ . The transition matrix  $\tilde{M}_{k \times k}$  is defined by  $\tilde{M}_{i,j} = \sum |\phi^{-1}(int(\tilde{R}_j)) \cap t \cdot \tilde{R}_i| \cdot t$ . Then  $\tilde{M}_{n,n}^m$  has a nonzero  $t^{-1}$  term implies that  $N$  has a closed orbit (possibly nonprimitive) with homology class  $(m, t)$  which intersects  $R_n$ . This property allows us to use multiplication of matrix  $\tilde{M}$  to study (possibly nonprimitive) closed orbits of  $N$ .

Let  $Cone(\phi) \subset H_1(N; \mathbb{R})$  be the smallest convex closed cone containing all the homology classes of primitive periodic orbits. In [FLP] Expose 14, Fried showed that for any  $\alpha \in H^1(N; \mathbb{R})$ ,  $\alpha$  lies in the fibered cone  $C$  if and only if  $\langle \alpha, x \rangle \geq 0$  for any  $x \in Cone(\phi)$ . Since we assume  $b_1(N) \geq 2$ ,  $\phi$  has two closed orbits  $d_1, d_2$  with linear independent homology classes. Suppose  $d_1 \cap R_1 \neq \emptyset$  and  $d'_2 \cap R_2 \neq \emptyset$ .

Since  $\tilde{M}$  is Perron-Frobenius, all the entries of  $\tilde{M}^N$  are nonzero, so  $\tilde{M}_{1,2}^N, \tilde{M}_{2,1}^N \neq 0$ . By precompose and postcompose  $(d'_2)^t$  with two paths given by  $\tilde{M}_{1,2}^N, \tilde{M}_{2,1}^N$ , we can get a possibly nonprimitive closed orbit  $d_2$  which intersects  $R_1$  and we can choose  $t$  such that  $d_1$  and  $d_2$  are linear independent. We can further take powers of  $d_1$  and  $d_2$  to make them share the same coefficient on  $[u]$ -component. Without changing the symbol, we get two possibly

nonprimitive closed orbits  $d_1 = (m, t'_1)$  and  $d_2 = (m, t'_2)$ . So  $\tilde{M}_{1,1}^m$  has both  $t_1'^{-1}$  term and  $t_2'^{-1}$  term.

Let  $d$  be the largest integer such that  $(t'_1 - t'_2)/d$  is an integer class. Since  $\tilde{M}$  is Perron-Frobenius, there exists large prime number  $p > N + m$  such that  $\tilde{M}_{1,1}^p$  has two terms  $t_1'^{-1}$  and  $t_2'^{-1}$  such that  $t_1 - t_2 = t'_1 - t'_2$ , and  $p > \max\{n_0, d, |\text{Tor}(H_1(N; \mathbb{Z}))|\}$ . This gives closed orbits  $c_1 = (p, t_1)$  and  $c_2 = (p, t_2)$ . Since  $p > d$  and  $p$  is prime, there exists positive integer  $n$  such that  $p|nd + 1$ .

Proof of (a): For any positive integer  $k$ ,  $\tilde{M}_{1,1}^{(kpd+nd+1)p}$  gives possibly nonprimitive closed orbits  $((kpd+nd+1)p, (kpd+nd+1)t_1 + a(t_2 - t_1))$ , here  $a$  is chosen from  $\{0, \dots, kpd+nd+1\}$ . Now we take  $a$  such that  $\gcd(a, kpd+nd+1) = 1$ , since  $p|kpd+nd+1$ ,  $\gcd(a, (kpd+nd+1)p) = 1$ . For any prime factor of  $(kpd+nd+1)p$ , it is factor of every coordinate of  $(kpd+nd+1)t_1$ , but is not factor of some coordinated of  $a(t_2 - t_1)$  since  $\gcd(d, (kpd+nd+1)) = 1$ . So  $N$  has primitive closed orbits with homology  $c = ((kpd+nd+1)p, (kpd+nd+1)t_1 + a(t_2 - t_1))$  for any positive integer  $k$  and  $a \in \{0, \dots, kpd+nd+1\}$  with  $\gcd(a, kpd+nd+1) = 1$ .

Now we need only to show there are infinitely many different drilling classes herein. For  $c + x = ((kpd+nd+1)p + n_0, (kpd+nd+1)t_1 + a(t_2 - t_1) + t_0)$ , the first coordinate can be rewritten as  $kp^2d + (npd + p + n_0)$ . Let  $d' = \gcd(p^2d, npd + p + n_0)$ , since  $p > n_0$ , we have  $d' \leq d < p$ . By Dirichlet Theorem on arithmetic progressions, there are infinitely many positive integers  $k_i$  such that  $(k_i p^2d + (npd + p + n_0))/d'$  is prime number. Suppose there are only finitely many pairwise independent classes for all choice of  $((k_i pd + nd + 1)p + n_0, (k_i pd + nd + 1)t_1 + a(t_2 - t_1) + t_0)$  with  $a \in \{0, \dots, k_i pd + nd + 1\}$  and  $\gcd(a, k_i pd + nd + 1) = 1$ . Then for  $i$  large enough, prime number  $(k_i p^2d + (npd + p + n_0))/d'$  must be a factor of all coordinates of  $((k_i pd + nd + 1)p + n_0, (k_i pd + nd + 1)t_1 + a(t_2 - t_1) + t_0)$ .

Since we have  $\phi(k_i pd + nd + 1)$  choices of  $a$ , here  $\phi(\cdot)$  is Euler's totient function, there exists  $a_1$  and  $a_2$  coprime with  $k_i pd + nd + 1$  and  $0 < a_1 - a_2 \leq \frac{k_i pd + nd + 1}{\phi(k_i pd + nd + 1) - 1}$ . Since  $(k_i p^2d + (npd + p + n_0))/d'$  is a factor of all coordinates of both  $((k_i pd + nd + 1)p + n_0, (k_i pd + nd + 1)t_1 + a_1(t_2 - t_1) + t_0)$  and  $((k_i pd + nd + 1)p + n_0, (k_i pd + nd + 1)t_1 + a_2(t_2 - t_1) + t_0)$ , it is a factor of all coordinate of  $(a_1 - a_2)(t_1 - t_2)$ . Let  $D$  be the upper bound of the norm of all coordinates of  $t_1 - t_2 = t'_1 - t'_2$ , then we have  $k_i pd + nd + 1 < \frac{k_i p^2d + npd + p + n_0}{d'} \leq D(a_1 - a_2) \leq D \frac{k_i pd + nd + 1}{\phi(k_i pd + nd + 1) - 1}$ , so  $\phi(k_i pd + nd + 1) - 1 \leq D$ . Since  $\{k_i pd + nd + 1\}$  is an integer sequence going to infinity, this is absurd. So we have infinitely many different drilling equivalent classes.

Proof of (b): Choose a prime number  $q$  such that  $q$  is coprime with both  $|\text{Tor}(H_1(N; \mathbb{Z}))|$  and  $p$ . For any positive integer  $k$ ,  $\tilde{M}_{1,1}^{(kpd+nd+1)pq}$  gives possibly nonprimitive closed orbit  $c$  with homology  $((kpd+nd+1)pq, (kpd+nd+1)qt_1 + aq(t_2 - t_1))$ . Here  $a$  is chosen from  $\{0, \dots, kpd+nd+1\}$  and coprime with  $kpd+nd+1$ . As the proof of (a), we have  $d(c) = q$ , which is coprime with  $|\text{Tor}(H_1(N; \mathbb{Z}))|$ .

Furthermore, we can take the closed orbit  $c$  to go along  $c_2$  for  $aq$  times first, and then go along  $c_1$  for  $(kpd+nd+1-a)q$  times. Since  $d(c) = q$  is a prime number,  $c$  is a nonprimitive closed orbit implies that  $c$  repeats  $q$  times of a primitive closed orbit. However, it contradicts with the choice of  $c$  and the fact that  $a \neq 0$  and  $a \neq kpd+nd+1$ . So we can choose  $c$  to be a primitive closed orbit, and it satisfies the condition in Branched Covering Theorem.

Now  $d(c)x + (d(c) - 1)c = ((kpd+nd+1)pq(q-1) + qn_0, (kpd+nd+1)q(q-1)t_1 + aq(q-1)(t_2 - t_1) + qt_0)$ . The first term is  $q[(p^2d(q-1))k + ((nd+1)p(q-1) + n_0)]$ . Let  $d' = \gcd(p^2d(q-1), (nd+1)p(q-1) + n_0)$  then  $d' \leq d(q-1) < p(q-1)$ . By Dirichlet

Theorem again, there are infinitely many  $k_i$  such that  $\frac{(p^2 d(q-1))k_i + ((nd+1)p(q-1) + n_0)}{d'}$  is prime number. Then the following proof is same with the proof of (a).  $\square$

Although we do not have an explicit example in hand yet, Theorem 4.2, Theorem 4.4 and Lemma 4.5 imply that there exists pseudo-Anosov map  $(S, \phi)$  (on closed surface and surface boundary) such that  $A(S, \phi) \neq \mathbb{Q}$ , which gives a negative answer to McMullen's question. Moreover, it also shows that pseudo-Anosov map having irrational invariant is a general phenomenon.

## 5. AN EXPLICIT EXAMPLE

In this section, we will give some explicit examples  $(S, \phi)$  with  $A(S, \phi) \neq \mathbb{Q}$ .

**5.1. An Example and its Closed Orbits.** We will study an explicit example in this subsection. For this example, all the possible homology classes can be realized by a primitive closed orbit. In the next subsection, we will study some simple drilling class and branched covering class for this example, and apply an alternative method of showing irrationality.

Let  $T_c$  be the left hand Dehn-twist along simple closed curve  $c \subset S$ . Using Dehn-twists, Penner gave a construction of pseudo-Anosov maps in [Pe].

**Theorem 5.1.** ([Pe] Theorem 3.1) *Suppose  $\{a_i\}_{i=1}^m$  and  $\{b_j\}_{j=1}^n$  be two families of disjoint essential simple closed curves on surface  $S$ , such that  $\{a_i\}_{i=1}^m$  intersect  $\{b_j\}_{j=1}^n$  essentially and every component of  $S \setminus ((\cup a_i) \cup (\cup b_j))$  is a disk or annulus containing boundary of  $S$ . Let  $\mathcal{D}(a^+, b^-)$  be the semigroup generated by  $T_{a_i}$  and  $T_{b_j}^{-1}$ . If every  $T_{a_i}$  and  $T_{b_j}^{-1}$  appears in the presentation of some  $\phi \in \mathcal{D}(a^+, b^-)$ , then  $\phi$  is a pseudo-Anosov map, and an invariant bigon track is constructed explicitly.*

Let  $\phi = T_{a_3} \cdot T_{b_2}^{-1} \cdot T_{b_1}^{-1} \cdot T_{a_2} \cdot T_{a_1}$  be a surface self-homeomorphism on  $S$  with  $a_i, b_j$  as shown in Figure 2. By Theorem 5.1,  $T$  is a pseudo-Anosov map. Since  $S$  is a closed genus 2 surface,  $A(S, \phi) = \mathbb{Q}$  by Corollary 3.7. Although  $(S, \phi)$  itself is not an object we are interested in, we will apply our drilling and branched covering construction to it.

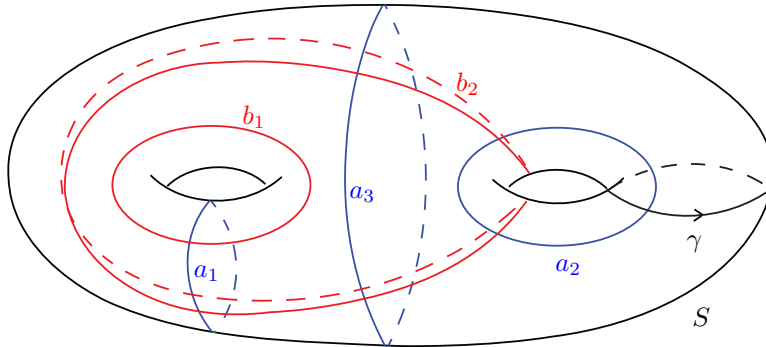


Figure 2

Let  $N = M(S, \phi)$  be the mapping torus with  $p : N \rightarrow S^1$ . It is easy to check that  $H_1(N; \mathbb{Z}) = \mathbb{Z}^2 = \mathbb{Z}[u] \oplus \mathbb{Z}[t]$ , here  $p_*(u)$  generates  $H_1(S^1; \mathbb{Z})$  and  $u \cap [S] = 1$ , while  $t$  is presented by curve  $\gamma$  in Figure 2.

We can cut the surface  $S$  along curves  $a, b, c, d$  in Figure 3 (a) to get an octagon representation of  $S$  as in Figure 3 (b). The twisting curves  $a_i, b_j$  are also shown in Figure 3 (b). The oriented curve  $d$  is homologous to  $\gamma$  in Figure 2, thus presents the homology class  $t$ .

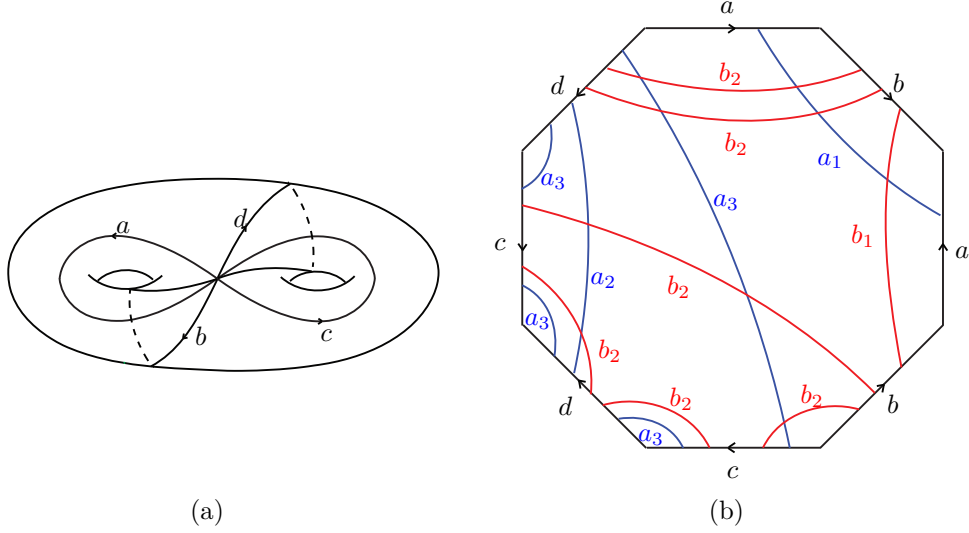


Figure 3

By running Bestvina-Handel's algorithm ([BH]), we get a graph  $G \subset S$  in Figure 4 (a), which is the spine of a *fibered surface* carrying  $\phi$ , such that the induced map  $\hat{\phi} : G \rightarrow G$  is *efficient* (we use the terminology in [BH] here). We also get an invariant train track  $\tau$  as shown in Figure 4 (b).

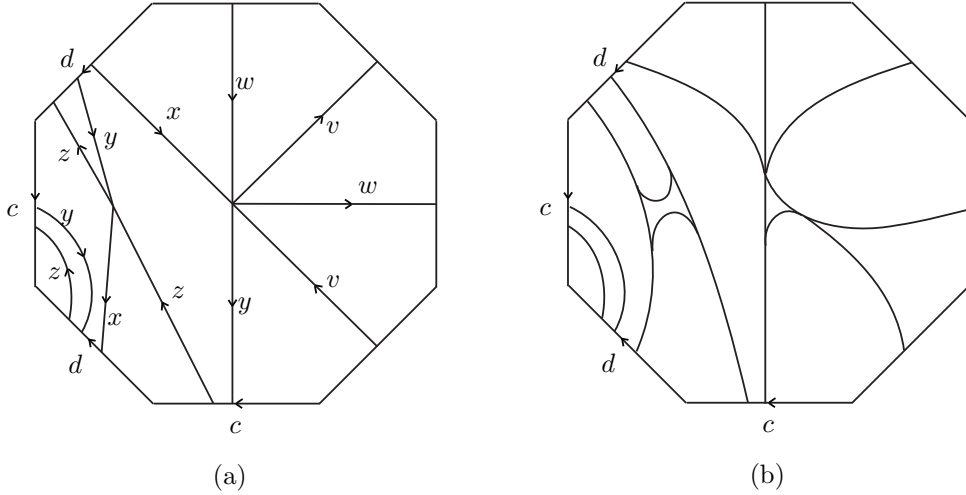


Figure 4

Moreover, we can thicken each edge of  $G$  to get a Markov partition of  $\phi$  as in [BH].

Let  $\bar{a}$  be the inverse of oriented edge  $a$ , then the induced map on graph  $\hat{\phi} : G \rightarrow G$  is as the following:

$$\begin{cases} v \rightarrow vyz\bar{y}\bar{x}zxyzy\bar{x}\bar{z}xyzy\bar{y}\bar{v}y\bar{z}\bar{y}\bar{x}\bar{z}xyzy\bar{v}y\bar{z}\bar{y}\bar{x}\bar{z}xyzy\bar{y}\bar{v}y\bar{z}\bar{y}\bar{x}\bar{z}xyzy\bar{y}\bar{v}^2, \\ w \rightarrow \bar{v}wyz\bar{y}\bar{x}\bar{z}xyzy\bar{y}\bar{v}y\bar{z}\bar{y}\bar{x}\bar{z}xyzy\bar{y}\bar{x}\bar{z}xyzy\bar{y}\bar{v}y\bar{z}\bar{y}\bar{x}\bar{z}xyzy\bar{y}\bar{v}y\bar{z}\bar{y}\bar{x}\bar{z}xyzy\bar{y}\bar{v}, \\ x \rightarrow xzy\bar{y}\bar{v}y\bar{z}\bar{y}\bar{x}\bar{z}xyzy\bar{y}\bar{x}\bar{z}xyzy\bar{y}\bar{v}, \\ y \rightarrow y\bar{z}\bar{y}\bar{x}zxyzy\bar{y}\bar{x}\bar{z}xyzy\bar{y}\bar{v}y\bar{z}\bar{y}\bar{x}\bar{z}xyzy\bar{y}\bar{v}y\bar{z}\bar{y}\bar{x}\bar{z}xy, \\ z \rightarrow zxyzy. \end{cases}$$

Let  $\tilde{S}$  be one component of the lift of  $S$  in the maximal abelian cover  $\tilde{M}$ , and  $\tilde{\phi}$  be the lift of  $\phi$ . Since  $H_1(N; \mathbb{Z}) = \mathbb{Z}[u] \oplus \mathbb{Z}[d]$ ,  $\tilde{S}$  is obtained by cutting  $S$  along  $c$ , then paste  $\mathbb{Z}$ -copies together along  $c$ . Let  $\tilde{G}$  be the preimage of  $G$  in  $\tilde{S}$ , then an abstract picture of  $\tilde{G}$  is shown in Figure 5. To make our notation compatible with [McM1], we will use  $t$  instead of  $[d]$  in the following.

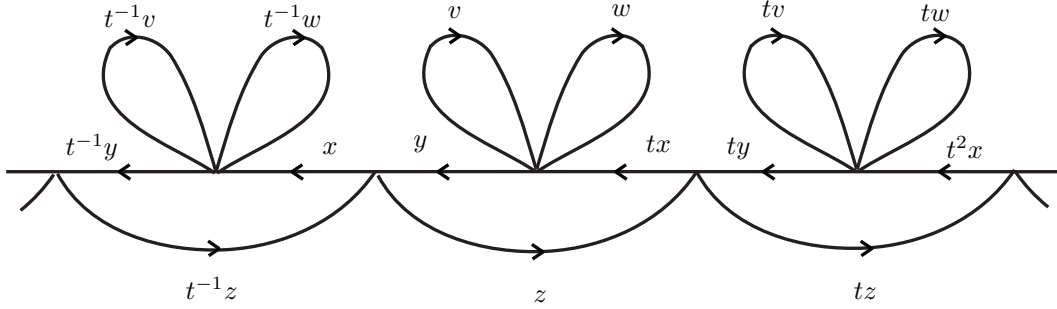


Figure 5

Since we do not need to keep track the path of  $\hat{\phi} : \tilde{G} \rightarrow \tilde{G}$  in the following work, we will write the formula of  $\hat{\phi}$  in addition form, but not as composition of paths. We will also omit the orientation of paths. Using the formula of  $\hat{\phi}$  and Figure 5, we get the following transition matrix for  $\hat{\phi} : \tilde{G} \rightarrow \tilde{G}$ . Let  $x_1, \dots, x_5$  be  $v, w, x, y, z$  respectively, then the entry  $m_{i,j}$  is the sum of edges in  $\mathbb{Z}[t](\hat{\phi}(x_j))$  collapsing to  $x_i$ .

$$M(t) = \begin{pmatrix} t + 4 + t^{-1} & t + 3 + t^{-1} & t + 1 & 1 + t^{-1} & 0 \\ 1 & 1 & 0 & 0 & 0 \\ 2t + 7 + 6t^{-1} + t^{-2} & 2t + 7 + 6t^{-1} + t^{-2} & t + 4 + t^{-1} & 3 + 6t^{-1} + t^{-2} & t^{-1} \\ 2t^2 + 9t + 10 + 3t^{-1} & 2t^2 + 9t + 10 + 3t^{-1} & t^2 + 5t + 3 & 3t + 9 + 3t^{-1} & 1 \\ 2t^2 + 9t + 8 + t^{-1} & 2t^2 + 9t + 8 + t^{-1} & t^2 + 5t + 1 & 3t + 8 + t^{-1} & 2 \end{pmatrix}.$$

In Figure 4 (b), for the invariant train track  $\tau$ , there are seven switches and seven branches other than  $v, w, x, y, z$ , and  $\phi$  (also  $\tilde{\phi}$ ) fixes all of them. So the contribution of seven switches to  $\det(uI - P_V(t))$  cancels the contribution of seven branches to  $\det(uI - P_E(t))$ . Then we have  $\Theta_F(u, t) = \frac{\det(uI - P_E(t))}{\det(uI - P_V(t))} = \det(uI - M(t))$ . By computing the characteristic polynomial of  $M(t)$ , up to a unit in  $\mathbb{Z}[H_1(N; \mathbb{Z})/Tor]$ , we get:

$$(5.1) \quad \Theta_F(u, t) = (u-1)(u^2 - (5t+19+5t^{-1})u + (14t+48+14t^{-1}) - (5t+19+5t^{-1})u^{-1} + u^{-2}).$$

Let  $(\alpha_1, \alpha_2)$  be a basis of  $H^1(N, \mathbb{R})$  dual with  $(u, t)$ , we have  $\alpha_1 = [S]$ . Then by Theorem 2.5 and formula (5.1), we have that  $C = \mathbb{R}_+ \cdot F = \mathbb{R}_+ \cdot D = \{x_1\alpha_1 + x_2\alpha_2 \mid x_1 > |x_2|\}$ .

For any closed orbit  $c$  of the suspension flow of  $N = M(S, \phi)$ , we have that for any  $\alpha \in C$ ,  $\langle \alpha, c \rangle > 0$ . So  $c = au + bt$  with  $a \in \mathbb{Z}_+$ ,  $b \in \mathbb{Z}$  and  $a \geq |b|$ .

**Proposition 5.2.** *For any homology class  $au + bt$  with  $a \in \mathbb{Z}_+$ ,  $b \in \mathbb{Z}$  and  $a \geq |b|$ , there exists a primitive closed orbit  $c$  in  $N = M(S, \phi)$ , such that  $c = au + bv$ .*

*Proof.* The transition matrix  $M(t)$  also gives the transition matrix of  $\tilde{\phi}$  under the Markov partition  $\cup_{i=1}^5 \mathbb{Z}[t](\tilde{R}_i)$  given by  $\tilde{G}$ . Since  $m_{4,4} = 3t + 9 + 3t^{-1}$ , we know that  $\tilde{\phi}^{-1}(\tilde{R}_4) \cap \tilde{R}_4$  has nine components, while  $\tilde{\phi}^{-1}(\tilde{R}_4) \cap t \cdot \tilde{R}_4$  and  $\tilde{\phi}^{-1}(\tilde{R}_4) \cap t^{-1} \cdot \tilde{R}_4$  both have three components.

For any homology class  $au + bt$  with  $b \neq 0$  and  $a \neq |b|$ , let's suppose  $b > 0$ , then we can take  $x \in R_4$ , with lifting  $\tilde{x} \in \tilde{R}_4$ , such that:

- 1)  $\tilde{\phi}^i(\tilde{x}) \in t^i \cdot \tilde{R}_4$  for  $0 \leq i \leq b$ ,
- 2)  $\tilde{\phi}^j(\tilde{x}) \in t^b \cdot \tilde{R}_4$  for  $b < j \leq a$ ,
- 3)  $\tilde{\phi}^a(\tilde{x}) = t^b \cdot \tilde{x}$ .

By 3),  $x$  is a period point with primitive closed orbit  $c$  passing through it with  $kc = au + bt$  for some  $k \in \mathbb{Z}_+$ . Actually, the period of  $x$  is  $a$ . Otherwise, by 1) and 2), either  $\tilde{\phi}^a(\tilde{x}) = \tilde{x}$  or  $\tilde{\phi}^a(\tilde{x}) = t^a \cdot \tilde{x}$ , contradicts with  $b \neq 0$  and  $a \neq |b|$ . So  $k = 1$ .

Then we need only to deal with homology classes  $au$ ,  $au + at$  and  $au - at$ . Since the proof of these three cases are similar, we will only prove the  $au + at$  case. Since  $\tilde{\phi}^{-1}(t \cdot \tilde{R}_4) \cap \tilde{R}_4$  has three components, we denote them by  $S_1, S_2, S_3$ . Then there exists  $\tilde{x} \in S_1$ , such that:

- 1)  $\tilde{\phi}(\tilde{x}) \in t \cdot S_2$ ,
- 2)  $\tilde{\phi}^i(\tilde{x}) \in t^i \cdot S_1$  for  $1 < i \leq a$ ,
- 3)  $\tilde{\phi}^a(\tilde{x}) = t^a \cdot \tilde{x}$ .

By the same argument as above,  $x$  has period  $a$  and the primitive closed orbit passing through  $x$  has homology  $au + at$ .  $\square$

Since  $\alpha_1$  is presented by fiber surface  $S$ , we have  $\|\alpha_1\| = 2$ . Since  $S$  is closed surface with genus 2, by Corollary 3.7,  $N = M(S, \phi)$  admits an involution  $\bar{\tau}$  with  $\bar{\tau}_*(u) = u$ ,  $\bar{\tau}_*(t) = -t$ . So  $\bar{\tau}^*(\alpha_1) = \alpha_1$ ,  $\bar{\tau}^*(\alpha_2) = -\alpha_2$ . It implies that  $\|\alpha_1 + t\alpha_2\| = \|\alpha_1 - t\alpha_2\|$  for any  $t \in \mathbb{R}$ . Since  $\alpha_1 + t\alpha_2$  lies in the interior of fibered cone  $C$  for any  $t \in (-1, 1)$ ,  $\|\alpha_1 + t\alpha_2\| = 2$  for  $t \in (-1, 1)$ . Thus the corresponding open fibered face  $F = \{\frac{1}{2}\alpha_1 + t\alpha_2 \mid t \in (-\frac{1}{2}, \frac{1}{2})\}$ , and the dual of this fibered face is  $x = 2u \in H_1(N, \mathbb{Z})$ .

**5.2. An Alternative Method for Irrationality.** Although the Drilling Theorem (Theorem 4.2), Branched Covering Theorem (Theorem 4.4) and Lemma 4.5 show us there are infinitely many pseudo-Anosov maps (on surfaces with or without boundary) with  $A(S, \phi) \neq \mathbb{Q}$ , we do not know for which class  $c$ ,  $A(S_c, \phi_c) \neq \mathbb{Q}$  holds. The constants  $D$  and  $D'$  in the proof of both theorems are very large, and it is difficult to estimate which drilling classes (branched covering classes) are the exceptional class in the proof of the Theorems.

We will use an alternative method to show that certain drilling (branched covering) class has irrational invariant. Assuming the minimal point is rational, we use algebraic number theory to bound the denominator of some function of minimal point coordinate, then we compute the function numerically and show that it can't be a rational number with denominator under the given bound. Since we use numerical method here, it only gives a case-by-case argument and provides some special examples, but may not help us to understand the invariant deeper.

We will still work on the example constructed in the previous subsection and we use all the notations therein.

Let's first deal with the drilling case, choose the simplest drilling class  $c = u + t$ , then the corresponding  $F_c = \{\alpha \in C \mid \langle \alpha, c + x \rangle = 1\} = \{a\alpha_1 + b\alpha_2 \mid a > |b|, 3a + b = 1\}$ . Let the minimal point  $m_{F_c} = s\alpha_1 + (1 - 3s)\alpha_2$  ( $s \in (1/4, 1/2)$ ), and let  $\lambda = \lambda(m_{F_c})$ . Take derivative as in Proposition 3.10, by plugging in the formula (5.1) and omitting the  $u - 1$  factor, we have the following equation:

$$(5.2) \quad \begin{cases} \lambda^{2s} - 5\lambda^{1-2s} - 19\lambda^s - 5\lambda^{4s-1} + 14\lambda^{1-3s} + 48 + 14\lambda^{3s-1} - 5\lambda^{1-4s} - 19\lambda^{-s} - 5\lambda^{2s-1} + \lambda^{-2s} = 0, \\ 2\lambda^{2s} + 10\lambda^{1-2s} - 19\lambda^s - 20\lambda^{4s-1} - 42\lambda^{1-3s} + 42\lambda^{3s-1} + 20\lambda^{1-4s} + 19\lambda^{-s} - 10\lambda^{2s-1} - 2\lambda^{-2s} = 0. \end{cases}$$

Let  $\lambda = X, \lambda^s = Y$ , then we have:

$$(5.3) \quad \begin{cases} -(5Y^{-2} - 14Y^{-3} + 5Y^{-4})X + (Y^2 - 19Y + 48 - 19Y^{-1} + Y^{-2}) - (5Y^4 - 14Y^3 + 5Y^2)X^{-1} = 0, \\ (10Y^{-2} - 42Y^{-3} + 20Y^{-4})X + (2Y^2 - 19Y + 19Y^{-1} - 2Y^{-2}) + (-20Y^4 + 42Y^3 - 10Y^2)X^{-1} = 0. \end{cases}$$

By solving the first quadratic equation of (5.3), we get:  $X =$

$$(5.4) \quad \frac{(Y^2 - 19Y + 48 - 19Y^{-1} + Y^{-2}) \pm \sqrt{(Y^2 - 9Y + 20 - 9Y^{-1} + Y^{-2})(Y^2 - 29Y + 76 - 29Y^{-1} + Y^{-2})}}{10Y^{-2} - 28Y^{-3} + 10Y^{-4}}.$$

By plugging in formula (5.4) into the second equation of (5.3), let  $A = Y + Y^{-1}$  and simplify the equation, we get:

$$(5.5) \quad \begin{aligned} 0 = f(A) &= 200A^6 - 9530A^5 + 128025A^4 - 778216A^3 + 2422552A^2 - 3782016A + 2354832 \\ &= (15A - 42)^2(A^2 - 9A + 18)(A^2 - 29A + 74) - (A^2 - 4)(5A^2 - 28A + 36)^2. \end{aligned}$$

$A$  is a positive real root of equation (5.5), with

$$(5.6) \quad Y = \frac{A + \sqrt{A^2 - 4}}{2},$$

and

$$(5.7) \quad \begin{aligned} XY^{-3} &= \frac{(A^2 - 19A + 46) \pm \sqrt{(A^2 - 9A + 18)(A^2 - 29A + 74)}}{10A - 28} \\ &= \frac{3(5A - 14)(A^2 - 19A + 46) \pm (5A^2 - 28A + 36)\sqrt{A^2 - 4}}{6(5A - 14)^2}. \end{aligned}$$

So  $X, Y$  lie in number field  $\mathbb{F}$  with  $[\mathbb{F} : \mathbb{Q}(A)] \leq 2$ . Since  $A$  is the root of degree 6 polynomial  $f(x)$  in equation (5.5), and we can check that  $f(x)$  is irreducible modulus 7 by Mathematica ([Math]), we have  $[\mathbb{Q}(A) : \mathbb{Q}] = 6$ . So  $[\mathbb{F} : \mathbb{Q}] = 6$  or 12.

Since  $A = Y + Y^{-1}$ , the minimal polynomial  $p(x)$  of  $Y$  is a factor of  $x^6 \cdot f(x + x^{-1})$ , so either  $p(x) = \pm x^{\deg(p)} p(x^{-1})$ , or  $p(x)p(x^{-1}) \mid x^6 \cdot f(x + x^{-1})$ . Since  $f(x)$  is irreducible, we have either  $p(x) = x^6 \cdot f(x + x^{-1})$ , or  $p(x)p(x^{-1}) = x^6 \cdot f(x + x^{-1})$ . Both of these two cases imply that  $Y$  is not an algebraic unit since the first or last term of  $f(x)$  has coefficient greater than 1. Moreover, by equation (5.5) and  $A = Y + Y^{-1}$ , we know that both  $200Y$  and  $200Y^{-1}$  are algebraic integers.

The definition in algebraic number theory appeared in the following paragraphs can be found in [MR] Chapter 0.

Let  $\mathcal{O}_{\mathbb{F}}$  be the ring of algebraic integers of number field  $\mathbb{F}$ , then  $Y\mathcal{O}_{\mathbb{F}} \subset \mathbb{F}$  is a *fractional ideal* of Dedekind domain  $\mathcal{O}_{\mathbb{F}}$ . By [MR] Theorem 0.3.4,  $Y\mathcal{O}_{\mathbb{F}}$  can be decomposed as production of prime ideals of  $\mathcal{O}_{\mathbb{F}}$  and their inversions. Let the decomposition be  $Y\mathcal{O}_{\mathbb{F}} =$

$\mathcal{P}_1^{p_1} \cdots \mathcal{P}_m^{p_m} \cdot \mathcal{Q}_1^{-q_1} \cdots \mathcal{Q}_n^{-q_n}$ , here  $p_i, q_j \in \mathbb{Z}_+$ ,  $\mathcal{P}_i$  and  $\mathcal{Q}_j$  are prime ideals of  $\mathcal{O}_{\mathbb{F}}$ . This decomposition is nontrivial since  $Y$  is not an algebraic unit.

Let  $200\mathcal{O}_{\mathbb{F}} = (2\mathcal{O}_{\mathbb{F}})^3(5\mathcal{O}_{\mathbb{F}})^2 = (\mathcal{P}_1^2)^{3a_1} \cdots (\mathcal{P}_m^2)^{3a_m} \cdot (\mathcal{P}_1^5)^{2b_1} \cdots (\mathcal{P}_n^5)^{2b_n}$ . Since  $[\mathbb{F} : \mathbb{Q}] \leq 12$ , we have  $a_i, b_j \leq 12$ . Since  $200Y \in \mathcal{O}_{\mathbb{F}}$ , we have that  $\mathcal{Q}_j$  belongs to  $\{\mathcal{P}_1^2, \dots, \mathcal{P}_m^2, \mathcal{P}_1^5, \dots, \mathcal{P}_n^5\}$  and  $0 < q_j \leq 36$ . Since  $200Y^{-1} \in \mathcal{O}_{\mathbb{F}}$ , we also have  $0 < p_i \leq 36$ .

If  $su + (1-s)t$  is a rational class with  $s = \frac{q}{p}$  and  $\gcd(p, q) = 1$ , then  $X = Y^{\frac{1}{s}} = Y^{\frac{p}{q}}$ . So  $X\mathcal{O}_{\mathbb{F}} = \mathcal{P}_1^{\frac{pp_1}{q}} \cdots \mathcal{P}_m^{\frac{pp_m}{q}} \cdot \mathcal{Q}_1^{-\frac{pq_1}{q}} \cdots \mathcal{Q}_n^{-\frac{pq_n}{q}}$ , which implies  $q \leq 36$ . To show that  $A(S_c, \phi_c) \neq \mathbb{Q}$ , we need only to compute  $\frac{\log X}{\log Y}$  numerically, and check it is not a rational number with denominator less or equal to 36.

We can solve equation (5.5) by Mathematica ([Math]), and get  $A = 30.38934206615629 \dots$ . By plugging in the value of  $A$  into equation (5.6) and (5.7), we get  $Y = 30.35640008366680 \dots$ , and  $X = 11506.21849 \dots$ . So  $\frac{\log X}{\log Y} = 2.739707 \dots$ . It is easy to check that  $\frac{\log X}{\log Y}$  can't be a rational number with denominator  $\leq 36$ . So for  $c = u + t$ ,  $A(S_c, \phi_c) \neq \mathbb{Q}$ . Here the minimal point of  $\lambda(\cdot)$  on  $F_c = \{\alpha \in C \mid \langle \alpha, c + x \rangle = 1\}$  is  $su + (1-3s)t$  with  $s = \frac{\log Y}{\log X} = 0.365002 \dots$ .

Take primitive class  $\beta_n = n\alpha_1 - (n-1)\alpha_2 \in C$  with  $n \in \mathbb{Z}_+$ . Since  $\langle \beta_n, u + t \rangle = 1$ , the corresponding fiber surface  $S_n$  in  $N_c = M(S_c, \phi_c)$  has one boundary component. On the other hand  $-\chi(S_n) = \langle \beta_n, x + c \rangle = \langle n\alpha_1 - (n-1)\alpha_2, 3u + t \rangle = 2n + 1$ , so  $S_n$  is a genus  $n + 1$  surface with one boundary component. Now we get the following theorem.

**Theorem 5.3.** *For any genus  $g$  surface  $S$  with one boundary component and  $g \geq 2$ , there exists pseudo-Anosov map  $\phi$  on  $S$ , such that  $A(S, \phi) \neq \mathbb{Q}$ .*

Now let's turn to branched covering case. Since the branched covering class should have nonprimitive homology, the simplest choice is  $c' = 2u + 2t$ , and  $d(c')x + (d(c') - 1)c' = 6u + 2t$ . Since  $d(c')x + (d(c') - 1)c' = 6u + 2t$  is linear dependent with  $c + x = 3u + t$ , we know that  $F^{c'} = \frac{1}{2}F_c$ , thus  $m_{F^{c'}} = \frac{1}{2}m_{F_c}$ . So  $A(S^{c'}, \phi^{c'}) = A(S_c, \phi_c) \neq \mathbb{Q}$ .

Let  $\beta_n = n\alpha_1 - (n-1)\alpha_2$  with  $n \in \mathbb{Z}_+$ , the corresponding surface  $S'_n$  in  $N^{c'} = M(S^{c'}, \phi^{c'})$  satisfies  $-\chi(S'_n) = \langle \beta_n, d(c')x + (d(c') - 1)c' \rangle = \langle n\alpha_1 - (n-1)\alpha_2, 6u + 2t \rangle = 4n + 2$ . So  $S'_n$  has genus  $2n + 2$  with  $A(S'_n, \phi'_n) \neq \mathbb{Q}$ .

**Theorem 5.4.** *For any closed genus  $2n$  surface  $S$  with  $n \geq 2$ , there exists pseudo-Anosov map  $\phi$  on  $S$ , such that  $A(S, \phi) \neq \mathbb{Q}$ .*

*Remark 5.5.* Since there does not exist a genuine branched cover from  $\Sigma_{3,0}$  to  $\Sigma_{2,0}$ , we can not deduce a pseudo-Anosov map  $\phi$  on  $\Sigma_{3,0}$  with  $A(\Sigma_{3,0}, \phi) \neq \mathbb{Q}$  from the example in this section. We will construct another example in the next section and show a similar theorem of Theorem 5.4 for odd genus case.

## 6. PENNER'S CONSTRUCTION

In this section, we will consider about pseudo-Anosov maps given by Penner's construction ([Pe]) as in Theorem 5.1. We will use notations in Theorem 5.1.

Suppose  $\phi \in \mathcal{D}(a^+, b^-)$ , such that all the  $T_{a_i}$  and  $T_{b_j}^{-1}$  appear in the presentation of  $\phi$ , then  $\phi$  is pseudo-Anosov. Since the induced map of  $T_c$  on homology is given by  $(T_c)_*(x) = x + (x \cdot c)c$ , we have  $\phi_*(x) - x \in \text{span}(a_1, \dots, a_m, b_1, \dots, b_n)$ . So  $i_*(H_1(S, \mathbb{R})) \subset H_1(M(S, \phi); \mathbb{R})$  has a natural quotient  $H_1(S, \mathbb{R})/\text{span}(a_1, \dots, a_m, b_1, \dots, b_n)$ .

**Definition 6.1.** Let  $\phi$  be a pseudo-Anosov element in  $\mathcal{D}(a^+, b^-)$ .  $\phi$  is said to be *generic* if  $i_*(H_1(S, \mathbb{R})) = H_1(S, \mathbb{R})/\text{span}(a_1, \dots, a_m, b_1, \dots, b_n)$ , i.e. the quotient in the previous paragraph is trivial.

For a generic  $\phi$ , we can read the homology of  $H_1(M(S, \phi); \mathbb{R})$  directly from twisting curves  $a_i, b_j$ , but do not need to know information about  $\phi$ .

**6.1. Polynomial  $\Phi$  and Dilatation Function  $\lambda(\cdot)$ .** In this subsection, for a generic element  $\phi \in \mathcal{D}(a^+, b^-)$ , we will define another polynomial  $\Phi$  which can also compute the dilatation function  $\lambda(\cdot)$  effectively. Moreover, we can compute  $\Phi$  directly from the two families of curves  $\{a_i\}_{i=1}^m, \{b_j\}_{j=1}^n$  and the presentation of  $\phi$ , but do not need to construct the invariant train track of  $\phi$ . However,  $\Phi$  is not an invariant of fibered cone  $C$  and does not have nice properties as  $\Theta_F$ .

In [Pe] Theorem 3.1, Penner constructed an invariant bigon-track  $\tau$  of pseudo-Anosov map  $\phi$ . Actually,  $\tau$  only depends on the two families of curves  $\{a_i\}_{i=1}^m, \{b_j\}_{j=1}^n$ , but does not depend on the specific element  $\phi \in \mathcal{D}(a^+, b^-)$ .

For every bigon region  $B_k$  in  $S \setminus \tau$ , we choose a point  $p_k \in B_k$ , and let  $S' = S \setminus \{p_1, \dots, p_k\}$  with inclusion  $i : S' \rightarrow S$ . Let  $\{a'_i\}_{i=1}^m = \{i^{-1}(a_i)\}_{i=1}^m, \{b'_j\}_{j=1}^n = \{i^{-1}(b_j)\}_{j=1}^n$  be the corresponding two families of disjoint simple closed curves on  $S'$ . Then  $\{a'_i\}_{i=1}^m, \{b'_j\}_{j=1}^n$  still satisfy the assumption of Theorem 5.1. Let  $\phi' \in \mathcal{D}(a'^+, b'^-)$  be the mapping class of  $S'$  corresponding with  $\phi \in \mathcal{D}(a^+, b^-)$ , then we have  $i \circ \phi' = \phi \circ i$ , and  $\phi'$  is also a pseudo-Anosov map.

**Lemma 6.2.** Let  $N = M(S, \phi)$  and  $N' = M(S', \phi')$  be the mapping torus with inclusion  $i' : N' \rightarrow N$ . Let  $C \subset H^1(N; \mathbb{R})$  be the fibered cone containing the dual of  $[S]$ . Then for any  $\alpha \in C$ , we have  $\lambda(i^*(\alpha)) = \lambda(\alpha)$ . Moreover, the homology class dual to fibered cone  $F$  is  $|\chi(S)|u$  for some  $u \in H_1(N; \mathbb{Z})$  and  $u \cap [S] = 1$ .

*Proof.* From  $\{a'_i\}_{i=1}^m, \{b'_j\}_{j=1}^n$ , by using Penner's method, we can construct an invariant bigon track  $\tau' = i^{-1}(\tau)$ .  $\tau'$  is actually a train track since we have added a puncture on each bigon complement of  $S \setminus \tau$ . A pair of transverse  $\phi'$ -invariant singular foliation  $(\mathcal{F}'^+, \mathcal{F}'^-)$  on  $S'$  can be constructed as in [Pe]. We first construct a  $\phi'$ -invariant horizontal (vertical) foliation on  $N(\tau')$  (a neighborhood of  $\tau'$ ). Then collapse the disc (annulus) regions of  $S' \setminus N(\tau')$  to get the singular foliation  $\mathcal{F}'^+ (\mathcal{F}'^-)$  on  $S'$ . Since the region of  $S' \setminus \tau'$  corresponding with bigon region  $B_k \subset S$  is  $B_k - \{p_k\}$ ,  $(\mathcal{F}'^+, \mathcal{F}'^-)$  near the puncture is as shown Figure 6. To make the picture clearer, we draw a boundary component but not a puncture in the picture.

Since  $(\mathcal{F}'^+, \mathcal{F}'^-)$  give a "2-prong"-picture near puncture  $p_k$  as in Figure 6,  $(\mathcal{F}'^+, \mathcal{F}'^-)$  give a pair of transverse  $\phi$ -invariant singular foliation  $(\mathcal{F}^+, \mathcal{F}^-)$  on  $S$ . We can isotopy  $\phi$  such that  $\phi$  preserves the pair of singular foliation  $(\mathcal{F}^+, \mathcal{F}^-)$ , so each  $p_k$  is a fixed point of the pseudo-Anosov map  $\phi$  on  $S$ . Let  $s_1, \dots, s_t$  be singular points of transverse singular foliation  $(\mathcal{F}^+, \mathcal{F}^-)$  on  $S$ . By the construction of  $(\mathcal{F}'^+, \mathcal{F}'^-)$ , all these  $s_i$  are fixed point of  $\phi$ .

Now we turn to 3-manifolds  $N = M(S, \phi)$  and  $N' = M(S', \phi')$ . Since the punctures  $p_k$  are all fixed point of  $\phi$ , let  $c_k$  be the closed orbit passing through  $p_k$ , then  $N'$  is obtained from  $N$  by drilling closed orbits  $c_1, \dots, c_l$  of suspension flow. So  $\lambda(i^*(\alpha)) = \lambda(\alpha)$  for any  $\alpha \in C$ .

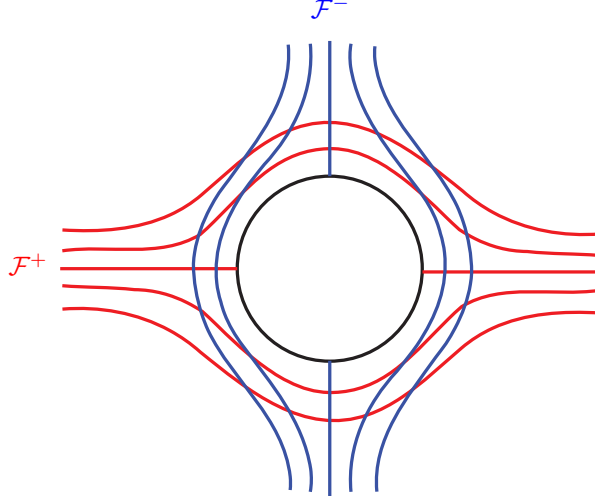


Figure 6

Let  $c'_1, \dots, c'_t$  be the oriented closed orbit passing through  $s_1, \dots, s_t$  respectively with  $c'_i \cap S = 1$ . We will show that all the closed orbits  $c'_1, \dots, c'_t$  share the same homology class in  $N$ . For any two points  $x, y \in \{s_1, \dots, s_t\}$ , let  $\gamma$  be an arc connecting  $x$  and  $y$ , then the corresponding closed orbit  $c'_x$  and  $c'_y$  satisfy  $\gamma \cdot c'_y \cdot \phi(\bar{\gamma}) \cdot \bar{c}'_x = 0$  in  $\pi_1(N)$ . So  $[c'_x] - [c'_y] = [\gamma \cdot \phi(\bar{\gamma})] \in H_1(N; \mathbb{Z})$ . Since  $\phi$  is composition of Dehn twists along  $\alpha$  and  $\beta$  curves,  $[\gamma \cdot \phi(\bar{\gamma})] \in \text{span}(a_1, \dots, a_m, b_1, \dots, b_n) \subset H_1(N; \mathbb{R})$ . Since  $\phi$  is generic,  $\text{span}(a_1, \dots, a_m, b_1, \dots, b_n) = 0$  in  $H_1(N; \mathbb{R})$ , so  $[c'_x] - [c'_y] = [\gamma \cdot \phi(\bar{\gamma})] = 0 \in H_1(N; \mathbb{R})$  and  $[c'_x] = [c'_y]$ . Take  $u \in H_1(N; \mathbb{Z})$ , such that  $[c'_1] = \dots = [c'_t] = u$ .

For singular point  $s_i$ , it gives a  $d_i$ -prong singularity of transverse singular foliation  $(\mathcal{F}^+, \mathcal{F}^-)$  with  $d_i > 2$ . By the Poincare-Hopf Theorem,  $\|\alpha\| = \langle \alpha, \sum_{i=1}^t \frac{d_i-2}{2} u \rangle$  holds for all integer classes  $\alpha \in C$ , so it holds for any  $\alpha \in C$ . Since  $\|[S]\| = |\chi(S)| = (|\chi(S)|u) \cap [S]$ , the dual of fibered face  $F$  is  $\sum_{i=1}^t \frac{d_i-2}{2} u = |\chi(S)|u$ .  $\square$

Decompose  $H_1(N; \mathbb{Z})/\text{Tor} = \mathbb{Z}[u] \oplus T$  as usual, here  $T$  is the image of  $H_1(S; \mathbb{Z})$ . Let  $\hat{p}: \hat{N} \rightarrow N$  be the maximal abelian cover of  $N$ ,  $\hat{S}$  be one component of  $\hat{p}^{-1}(S)$ , and  $\hat{\tau} \subset \hat{S}$  be one component of  $\hat{p}^{-1}(\tau)$ . Then we have an  $T$  action on  $\hat{\tau}$ , so branches and switches of  $\hat{\tau}$  give us  $\mathbb{Z}[T]$ -modules  $\mathbb{Z}[T]^E$  and  $\mathbb{Z}[T]^V$ . Since  $\hat{\phi}(\hat{\tau})$  is carried by  $\hat{\tau}$ , we have an  $\hat{\phi}$  action on these two modules with matrices  $P_E(t)$  and  $P_V(t)$  respectively.

**Definition 6.3.** We define polynomial  $\Phi$  by:

$$\Phi = \frac{\det(uI - P_E(t))}{\det(uI - P_V(t))} = \sum_g a_g \cdot g.$$

*Remark 6.4.* Here  $\tau$  is only a bigon track but may not be a train track, so  $\Phi$  may not be equal to  $\Theta_F$ .

**Proposition 6.5.** For any  $\alpha \in C$ , we have  $\lambda(\alpha) = \sup\{k > 1 \mid 0 = \Phi_F(k^\alpha) = \sum_g a_g \cdot k^{\langle \alpha, g \rangle}\}$ .

*Proof.* Let  $N'$  as in the proof of Lemma 6.2, let  $H_1(N'; \mathbb{Z})/\text{Tor} = \mathbb{Z}[u] \oplus T'$  with  $T'$  correspond with image of  $H_1(S'; \mathbb{Z})$ . Then we have exact sequence  $0 \rightarrow K \rightarrow H_1(N'; \mathbb{Z})/\text{Tor} \rightarrow H_1(N; \mathbb{Z})/\text{Tor} \rightarrow 0$ , here  $K \subset T'$ .

Let  $\Theta_{F'}$  be the Teichmuller polynomial associated with fibered cone  $C' \subset H^1(N'; \mathbb{R})$  containing  $[S']$ . Since  $\tau' \subset S'$  is an invariant train track of  $\phi'$ , we can use  $\tau'$  to compute  $\Theta_{F'}$ . Let  $\tilde{p} : \tilde{N}' \rightarrow N'$  be the maximal abelian cover of  $N'$ ,  $\tilde{S}'$  be one component of  $\tilde{p}^{-1}(S')$  and  $\tilde{\tau}' = \tilde{p}^{-1}(\tau) \cap \tilde{S}'$ . Then by Theorem 2.1, we have

$$\Theta_{F'} = \frac{\det(uI - P_{E'}(t'))}{\det(uI - P_{V'}(t'))}.$$

Here  $P_{E'}(t')$  and  $P_{V'}(t')$  are the induced map of  $\tilde{\phi}' : \tilde{S}' \rightarrow \tilde{S}'$  on the  $\mathbb{Z}[T']$ -modules of branches and switches of  $\tilde{\tau}'$ .

Since  $\lambda(i^*(\alpha)) = \lambda(\alpha)$  by Lemma 6.5, to compute  $\lambda(\alpha)$  for  $\alpha \in C$ , we do not need to use the full power of  $\Theta_{F'}$ , but only need to take valuate of  $\Theta_{F'}$  on  $i^*(H^1(N; \mathbb{R}))$ . Let  $\Theta_{F'} = \sum_g a_g \cdot g$ , here  $g \in H_1(N'; \mathbb{Z})/Tor$ , then for any  $i^*(\alpha) \in C'$ , by Theorem 2.3 we have that  $\lambda(i^*(\alpha))$  is the greatest root of

$$0 = \Theta_{F'}(X^{i^*(\alpha)}) = \sum_g a_g \cdot X^{\langle i^*(\alpha), g \rangle} = \sum_g a_g \cdot X^{\langle \alpha, i_*(g) \rangle}.$$

So for computing dilatation function  $\lambda(\cdot)$  on  $C$ , we need only to compute  $P_{E'}(t')$  and  $P_{V'}(t')$  modulo  $K$ .

Let  $\hat{p} : \hat{N}' \rightarrow N'$  be the free abelian cover given by  $\pi_1(N') \rightarrow H_1(N'; \mathbb{Z})/Tor \rightarrow H_1(N; \mathbb{Z})/Tor$ . Then there exist inclusion  $\hat{i} : \hat{N}' \rightarrow \hat{N}$  to the maximal abelian cover of  $N$ .  $\hat{N}'$  is an intermediate cover of  $\tilde{p} : \tilde{N}' \rightarrow N'$ , while the deck transformation group of  $p : \tilde{N}' \rightarrow \hat{N}'$  is  $K$ . Let  $\hat{S}' = p(\tilde{S}')$ ,  $\hat{\tau}' = p(\tilde{\tau}')$  and  $\hat{\phi}' : \hat{S}' \rightarrow \hat{S}'$  be a lift of  $\phi'$ . Then we have  $P_{E'}(t') = \hat{P}'_E(t')$  and  $P_{V'}(t') = \hat{P}'_V(t')$  modulo  $K$ , here  $\hat{P}'_E(t')$  and  $\hat{P}'_V(t')$  are the matrices of  $\hat{\phi}'$  action on the  $\mathbb{Z}[T]$ -module of branches and switches of  $\hat{\tau}'$ .

Since  $\hat{\tau}'$  is isomorphic with  $\hat{\tau}$  and the  $T$  action and  $\phi$  action coincide, we have  $\hat{P}'_E(t') = P_E(t)$  and  $\hat{P}'_V(t') = P_V(t)$ . So we have  $\Theta_{F'}(X^{i^*(\alpha)}) = \Phi(X^\alpha)$ , and the Proposition is true by Theorem 2.3.  $\square$

Now we try to compute  $\Phi$  from the two families of curves  $\{a_i\}_{i=1}^m, \{b_j\}_{j=1}^n$  and presentation of  $\phi$  directly.

Let  $q : \hat{S} \rightarrow S$  be the covering map given by the maximal abelian cover. Since  $\phi$  is generic, each component of  $q^{-1}(a_i)$  ( $q^{-1}(b_j)$ ) is mapped to  $a_i$  ( $b_j$ ) by homeomorphism. After choosing a component of  $q^{-1}(a_i)$  ( $q^{-1}(b_j)$ ) to be  $\hat{a}_i$  ( $\hat{b}_j$ ), we have  $q^{-1}(a_i) = T \cdot \hat{a}_i$  ( $q^{-1}(b_j) = T \cdot \hat{b}_j$ ). Then we can construct a weighted graph  $G$  with  $T$  action, which we call the *intersection graph*. Each vertex of the intersection graph  $G$  corresponds with a curve in  $(\cup_{i=1}^m T \cdot \hat{a}_i) \cup (\cup_{j=1}^n T \cdot \hat{b}_j)$ . Two vertices  $x, y$  in  $G$  are connected by an edge  $e = e(x, y)$  if the corresponding curves in  $\hat{S}$  intersects, the weight  $w(e(x, y))$  is the intersection number  $\#(x \cap y)$ .

Given these information, we construct a  $m \times n$  matrix  $M(t)$  which is called *intersection matrix*. The entries in  $M(t)$  are elements in  $\mathbb{Z}[T]$ :  $M_{i,j} = \sum_{t \in T} e(\hat{a}_i, t\hat{b}_j) \cdot t$ . For any vector  $v = (v_1, \dots, v_m)$  with  $v_i \in \mathbb{Z}_{\geq 0}$ , let

$$M^v = \begin{pmatrix} I_{m \times m} & \text{diag}(v_1, \dots, v_m) \cdot M(t) \\ 0 & I_{n \times n} \end{pmatrix}.$$

For  $w = (w_1, \dots, w_n)$  with  $w_i \in \mathbb{Z}_{\geq 0}$ , let

$$M_w = \begin{pmatrix} I_{m \times m} & 0 \\ \text{diag}(w_1, \dots, w_n) \cdot M^T(t^{-1}) & I_{n \times n} \end{pmatrix}.$$

For vectors  $v$  and  $w$  as above, let Dehn-twists  $T_{a_1}^{v_1} \cdots T_{a_m}^{v_m}$  and  $T_{b_1}^{-w_1} \cdots T_{b_n}^{-w_n}$  denoted by  $T_a^v$  and  $T_b^{-w}$  respectively. Then any  $\phi \in \mathcal{D}(a^+, b^-)$  can be conjugated to  $T_a^{v_1} T_b^{-w_1} \cdots T_a^{v_s} T_b^{-w_s}$  with  $v_i \neq 0$ ,  $w_i \neq 0$  for any  $i$ . With these notations, we have the following formula for  $\Phi$ .

**Proposition 6.6.** *Suppose  $\phi = T_a^{v_1} T_b^{-w_1} \cdots T_a^{v_s} T_b^{-w_s} \in \mathcal{D}(a^+, b^-)$  is a generic pseudo-Anosov map, and  $\{a_i\}_{i=1}^m$  intersects  $\{b_j\}_{j=1}^n$  at  $v$  points. Then  $\Phi = (u-1)^{v-m-n} \cdot \det(uI - M_{w_s} M^{v_s} \cdots M_{w_1} M^{v_1})$ .*

*Proof.* Let  $\hat{T}_{a_i}, \hat{T}_{b_j}^{-1}$  be the lift of  $T_{a_i}, T_{b_j}^{-1}$  respectively. For each  $\hat{a}_i \subset \hat{\tau}$  ( $\hat{b}_j \subset \hat{\tau}$ ), we choose a branch  $x_{i,1} \subset \hat{a}_i$  ( $y_{j,1} \subset \hat{b}_j$ ). Let  $x_{i,2}, \dots, x_{i,k_i}$  be the other branches of  $\hat{\tau}$  lying on  $\hat{a}_i$ , similarly for  $\hat{b}_j$ .

Then for  $[x_{i,1}] - [x_{i,k}] \in \mathbb{Z}[T]^E$ , we have  $(\hat{T}_{b_j}^{-1})^*([x_{i,1}] - [x_{i,k}]) = [x_{i,1}] - [x_{i,k}]$  and  $(\hat{T}_{a_{i'}})^*([x_{i,1}] - [x_{i,k}]) = [x_{i,1}] - [x_{i,k}]$  if  $i \neq i'$ . Moreover,  $(\hat{T}_{a_i})^*[x_{i,1}] = [x_{i,1}] + \sum_b [b]$ , here  $b$  runs over all the branches of  $\hat{\tau}$  that intersects  $\hat{a}_i$  to the left (or right, which depends on the representative of  $\hat{T}_{a_i}$ ). At the same time, we also have  $(\hat{T}_{a_i})^*[x_{i,k}] = [x_{i,k}] + \sum_b [b]$ , so  $(\hat{T}_{a_i})^*([x_{i,1}] - [x_{i,k}]) = [x_{i,1}] - [x_{i,k}]$ . Now we have  $\hat{\phi}^*([x_{i,1}] - [x_{i,k}]) = [x_{i,1}] - [x_{i,k}]$ . The same argument hold for  $[y_{j,1}] - [y_{j,l}]$ .

Let  $V_1$  be the  $\mathbb{Z}[T]$ -submodule of  $\mathbb{Z}[T]^E$  freely generated by  $[x_{i,1}] - [x_{i,k}]$  and  $[y_{j,1}] - [y_{j,l}]$ , here  $i \in \{1, \dots, m\}, k \in \{2, \dots, k_i\}, j \in \{1, \dots, n\}, l \in \{2, \dots, l_j\}$ .  $V_1$  is a  $\hat{\phi}^*$ -invariant submodule of  $\mathbb{Z}[T]^E$  with  $\hat{\phi}^*|_{V_1} = id_{V_1}$ . The  $\mathbb{Z}[T]$ -dimension of  $V_1$  is  $\#\{\text{branches of } \tau\} - m - n$ . It is easy to check that  $\tau$  has  $2v$  branches, so  $\dim_{\mathbb{Z}[T]} V_1 = 2v - m - n$ .

To simplify notions, let  $x_i = x_{i,1}$  and  $y_j = y_{j,1}$ . We have another  $\mathbb{Z}[T]$ -submodule  $V_2$  of  $\mathbb{Z}[T]^E$  which is freely generated by  $x_1, \dots, x_m$  and  $y_1, \dots, y_n$ . then  $\mathbb{Z}[T]^E = V_1 \oplus V_2$ . We have  $(\hat{T}_{b_j}^{-1})^*([x_i]) = [x_i]$  and  $(\hat{T}_{a_{i'}})^*([x_i]) = [x_i]$  if  $i \neq i'$ . Moreover,  $(\hat{T}_{a_i})^*([x_i]) = [x_i] + \sum_{j=1}^m M_{i,j}(t)[y_j] \mod V_1$ . Similarly,  $(\hat{T}_{b_j})^*([y_j]) = [y_j] + \sum_{i=1}^n M_{j,i}(t^{-1})[x_i] \mod V_1$ , and all the other Dehn-twists act trivially on  $y_j$ . These actions coincide with the action of  $M^v$  and  $M_w$ . Since  $\phi = T_a^{v_1} T_b^{-w_1} \cdots T_a^{v_s} T_b^{-w_s}$  is composition of Dehn-twists, the action matrix of  $\hat{\phi}^*$  on  $\mathbb{Z}[T]^E/V_1$  is given by  $M_{w_s} M^{v_s} \cdots M_{w_1} M^{v_1}$ . So  $\det(uI - P_E(t)) = (u-1)^{2v-m-n} \cdot \det(uI - M_{w_s} M^{v_s} \cdots M_{w_1} M^{v_1})$ .

Since  $\hat{\phi}$  fixes each switch of  $\hat{\tau}$ , we have  $\hat{\phi}^* = id_{\mathbb{Z}[T]^E}$ . So  $\det(uI - P_V(t)) = (u-1)^v$ , and  $\Phi = (u-1)^{v-m-n} \cdot \det(uI - M_{w_s} M^{v_s} \cdots M_{w_1} M^{v_1})$ .  $\square$

An interesting property is, if we twist the  $a$  curves and  $b$  curves proportionally every time, then the dilatation function is symmetric:

**Proposition 6.7.** *Let  $v = (v_1, \dots, v_m)$ ,  $w = (w_1, \dots, w_n)$  be two vectors with  $v_i, w_j \in \mathbb{Z}_+$ , and  $x_k, y_k \in \mathbb{Z}_+, i = 1, \dots, s$ . Suppose  $\phi = T_a^{x_1 v} T_b^{-y_1 w} \cdots T_a^{x_s v} T_b^{-y_s w} \in \mathcal{D}(a^+, b^-)$  is a generic pseudo-Anosov map on surface  $S$ . Then  $\Phi(u, t) = \Phi(u, t^{-1})$  and  $m_F = \frac{|S|}{|\chi(S)|}$ .*

*Proof.* Let  $D = \text{diag}(v_1, \dots, v_m)$  and  $D' = \text{diag}(w_1, \dots, w_n)$

By Proposition 6.6,  $\Phi(u, t) = (u-1)^{v-m-n} \cdot \det(uI - (M_{y_s w} M^{x_s v}) \cdots (M_{y_1 w} M^{x_1 v}))$ . By direct computation,

$$M_{y_i w} M^{x_i v} = \begin{pmatrix} I_{m \times m} & x_i D \cdot M(t) \\ y_i D' \cdot M^T(t^{-1}) & I_{n \times n} + x_i y_i D' \cdot M^T(t^{-1}) \cdot D \cdot M(t) \end{pmatrix}.$$

By induction, we can show that

$$\begin{aligned} (M_{y_s w} M^{x_s v}) \cdots (M_{y_1 w} M^{x_1 v}) &= \begin{pmatrix} I_{m \times m} + E(t) & F(t) \\ G(t) & I_{n \times n} + H(t) \end{pmatrix} \\ &= \begin{pmatrix} I_{m \times m} + P_1(D \cdot M(t) \cdot D' \cdot M^T(t^{-1})) & D \cdot M(t) \cdot P_2(D' \cdot M^T(t^{-1}) \cdot D \cdot M(t)) \\ D' \cdot M^T(t^{-1}) \cdot P_3(D \cdot M(t) \cdot D' \cdot M^T(t^{-1})) & I_{n \times n} + P_4(D' \cdot M^T(t^{-1}) \cdot D \cdot M(t)) \end{pmatrix}, \end{aligned}$$

here  $P_1, P_2, P_3, P_4$  are polynomials.

So

$$\begin{aligned} \Phi(u, t) &= \det \begin{pmatrix} (u-1)I_{m \times m} - E(t) & -F(t) \\ -G(t) & (u-1)I_{n \times n} - H(t) \end{pmatrix} \\ &= \det((u-1)I_{m \times m} - E(t)) \cdot \det((u-1)I_{n \times n} - H(t) - G(t) \cdot [(u-1)I_{m \times m} - E(t)]^{-1} \cdot F(t)). \end{aligned}$$

By taking transpose of the matrix, we get

$$\begin{aligned} \Phi(u, t) &= \det \begin{pmatrix} (u-1)I_{m \times m} - E^T(t) & -G^T(t) \\ -F^T(t) & (u-1)I_{n \times n} - H^T(t) \end{pmatrix} \\ &= \det((u-1)I_{m \times m} - E^T(t)) \cdot \det((u-1)I_{n \times n} - H^T(t) - F^T(t) \cdot [(u-1)I_{m \times m} - E^T(t)]^{-1} \cdot G^T(t)). \end{aligned}$$

We have expression

$$\begin{aligned} E(t) &= P_1(D \cdot M(t) \cdot D' \cdot M^T(t^{-1})), \quad F(t) = D \cdot M(t) \cdot P_2(D' \cdot M^T(t^{-1}) \cdot D \cdot M(t)), \\ G(t) &= D' \cdot M^T(t^{-1}) \cdot P_3(D \cdot M(t) \cdot D' \cdot M^T(t^{-1})), \quad H(t) = P_4(D' \cdot M^T(t^{-1}) \cdot D \cdot M(t)). \end{aligned}$$

Using the expression above, we can check that

$$\det((u-1)I_{m \times m} - E(t)) = \det((u-1)I_{m \times m} - E^T(t^{-1})),$$

and

$$\begin{aligned} \det((u-1)I_{n \times n} - H(t) - G(t) \cdot [(u-1)I_{m \times m} - E(t)]^{-1} \cdot F(t)) &= \\ \det((u-1)I_{n \times n} - H^T(t^{-1}) - F^T(t^{-1}) \cdot [(u-1)I_{m \times m} - E^T(t^{-1})]^{-1} \cdot G^T(t^{-1})). \end{aligned}$$

So  $\Phi(u, t) = \Phi(u, t^{-1})$ .

Let  $(u, t_1, \dots, t_{b-1})$  be a basis of  $H_1(N; \mathbb{Z})/Tor$ , and  $([S], \alpha_1, \dots, \alpha_{b-1})$  be a dual basis of  $H^1(N; \mathbb{Z})$ . By Lemma 6.2, we have  $F \subset \{\frac{1}{|\chi(S)|}[S] + x_1\alpha_1 + \dots + x_{b-1}\alpha_{b-1} | x_i \in \mathbb{R}\}$ . Since  $\Phi(u, t) = \Phi(u, t^{-1})$ , for any  $x = x_1\alpha_1 + \dots + x_{b-1}\alpha_{b-1}$  and  $\frac{1}{|\chi(S)|}[S] + x \in F$ , we have  $\lambda(\frac{1}{|\chi(S)|}[S] + x) = \lambda(\frac{1}{|\chi(S)|}[S] - x)$ . By the uniqueness of minimal point  $m_F$ ,  $m_F = \frac{1}{|\chi(S)|}[S]$ .  $\square$

*Remark 6.8.* In Proposition 6.7, the minimal point  $m_F$  is rational since the dilatation function  $\lambda(\cdot)$  is symmetric on fibered face  $F$ . However, the symmetric property of  $\lambda(\cdot)$  here does not have an immediate geometric interpretation as in Proposition 3.5.

**6.2. An Irrational Example from Penner's Construction.** Now we give an example which shows that if the Dehn-twists on  $a$ -curves and  $b$ -curves are not proportional as in Proposition 6.7, then the minimal point  $m_F$  may not be a rational class.

Let surface  $S$  and the two families of curves  $\{a_1, a_2, a_3\}$  and  $\{b_1, b_2\}$  are as shown in Figure 7. Since the  $b$ -curves are quite complicated, we draw  $b_1$  as a red curve and  $b_2$  as a pink curve.

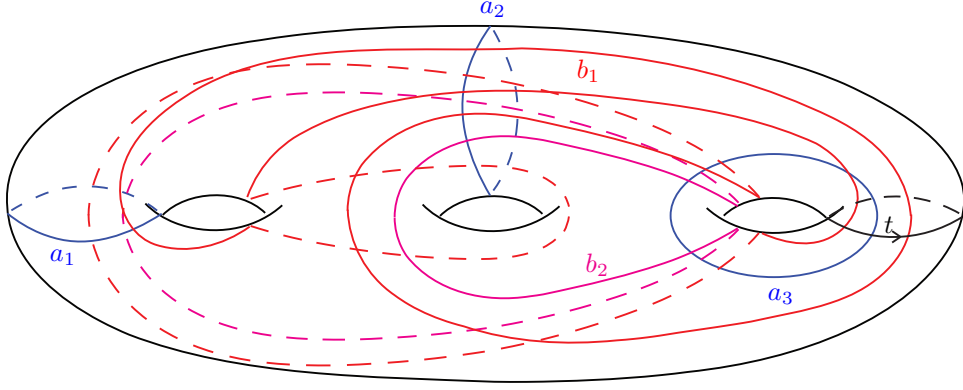


Figure 7

Let's take pseudo-Anosov map  $\phi = T_a^{(1,1,1)} \cdot T_b^{(-1,-1)} \cdot T_a^{(2,1,2)} \cdot T_b^{(-2,-1)} \in \mathcal{D}(a^+, b^-)$ , for which the Dehn-twists along  $a$ -curves and  $b$ -curves are not proportional.

We can check that  $\phi$  is generic. For the mapping torus  $N = M(S, \phi)$ ,  $H_1(N; \mathbb{Z})/Tor = \mathbb{Z}[u] \oplus \mathbb{Z}[t]$ . Here  $u$  is given by Lemma 6.2 and  $t$  is as shown in Figure 7.

Let  $\tilde{N}$  be the maximal abelian cover of  $N$ , and  $\tilde{S}$  be one component of preimage of  $S$ . For the preimage of  $a$ -curves and  $b$ -curves in  $\tilde{S}$ , we can get the intersection graph  $G$ , which is shown in Figure 8.

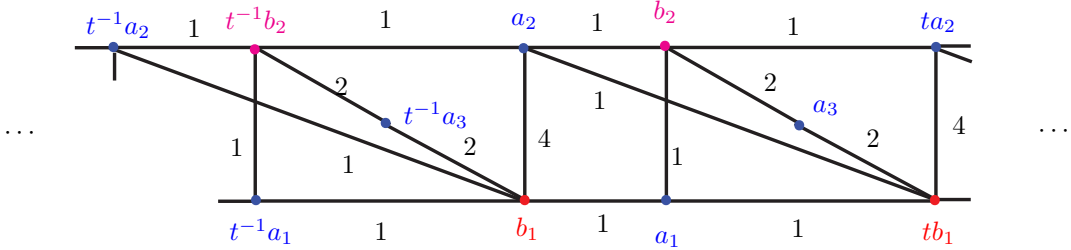


Figure 8

From Figure 8, we can get the intersection matrix:  $M(t) = \begin{pmatrix} t+1 & 1 \\ t+4 & 1+t^{-1} \\ 2t & 2 \end{pmatrix}$ .

By Proposition 6.6 and direct computation, we can get  $\Phi(u, t) = \det(uI - M_{(2,1)} M^{(2,1,2)} M_{(1,1)} M^{(1,1,1)}) = (u-1) \cdot (u^4 - (78t^2 + 785t + 1929 + 779t^{-1} + 77t^{-2})u^3 + (25t^2 + 2673t + 21326 + 2673t^{-1} + 25t^{-2})u^2 - (77t^2 + 779t + 1929 + 785t^{-1} + 78t^{-2})u + 1)$ .

For this example,  $\Phi(u, t) \neq \Phi(u, t^{-1})$ , so the dilatation function may not be symmetric as in Proposition 6.7. Let  $(\alpha_1, \alpha_2)$  be a basis of  $H^1(N; \mathbb{Z})$  dual with  $(u, t)$ . Then by Theorem 2.5, Lemma 6.2 and the formula of  $\Phi(u, t)$  above, we get that the fibered face  $F = \{\frac{1}{4}\alpha_1 + s\alpha_2 \mid s \in (-1/8, 1/8)\}$ .

Let the minimal point  $m_F = \frac{1}{4}\alpha_1 + s\alpha_2$ , by solving the equations numerically by Mathematica ([Math]), we have  $s = 0.0001117568645 \dots$ , while  $\frac{1}{4s} = 2236.999051 \dots$ . However,

by the algebraic number theory method as in section 5.2, if  $\frac{1}{4s}$  is a rational number, its denominator must be less or equal to 40, which is impossible. So  $A(S, \phi) \neq \mathbb{Q}$  here.

Now let's take cohomology class  $n\alpha_1 + \alpha_2$  with  $n \geq 3$ ,  $n\alpha_1 + \alpha_2$  lies in the fibered cone  $C$ . While  $\|n\alpha_1 + \alpha_2\| = 4n$ , which is represented by a closed surface with genus  $2n + 1$  with  $n \geq 3$ .

On the other hand, for the example  $(S, \phi)$  we constructed in this subsection,  $S$  has a double cover  $S'$  given by  $\theta : H_1(S; \mathbb{Z}) \rightarrow \mathbb{Z}_2$ . Here  $\theta$  is defined by  $\theta([t]) = \bar{1}$  while  $\theta(a_i) = \theta(b_j) = \bar{0}$ . It is easy to check that  $\phi$  lifts to  $\phi' : S' \rightarrow S'$ . Since  $S'$  has genus 5 and  $A(S, \phi)$  is covering invariant (Proposition 3.2), we get a genus 5 example with  $A(S, \phi) \neq \mathbb{Q}$ .

So we have the following theorem parallel with Theorem 5.4.

**Theorem 6.9.** *For any closed genus  $2n + 1$  surface  $S$  with  $n \in \mathbb{Z}_+$ , there exists pseudo-Anosov map  $\phi$  on  $S$ , such that  $A(S, \phi) \neq \mathbb{Q}$ .*

With Theorem 5.3, 5.4 and 6.9 together, we get Theorem 1.2 in the introduction.

## 7. OTHER EXAMPLES

By Proposition 3.7, for surfaces  $\Sigma_{0,4}$ ,  $\Sigma_{1,2}$  and  $\Sigma_{2,0}$ , we have  $A(S, \phi) = \mathbb{Q}$  for any pseudo-Anosov map  $\phi$ . However, Theorem 1.2 tells us there exists pseudo-Anosov map  $\phi$  on all the possible closed and one punctured surfaces with  $A(S, \phi) \neq \mathbb{Q}$ . The remaining "small" surfaces are  $\Sigma_{0,5}$  and  $\Sigma_{1,3}$ , both of them have Euler characteristic  $-3$ .

In this section, we will give two pseudo-Anosov maps on  $\Sigma_{0,5}$  and  $\Sigma_{1,3}$ , with  $A(S, \phi) \neq \mathbb{Q}$ . The method to show irrationality is same with the method in section 5.2. Since the computation is routine but tedious, we will not give the proof here.

The example for  $\Sigma_{1,3}$  is given by two families of filling curves  $\{a_1, a_2\}, \{b_1, b_2\}$  in Figure 9 (a), here  $a_2$  and  $b_1$  both bound three punctured spheres. Let  $t_{a_2}$  be the left hand half Dehn twist along  $a_2$ .  $t_{a_2}$  is a homeomorphism of the three punctured sphere, being identity on a neighborhood of  $a_2$  and do a  $\pi$ -rotation away from a bigger neighborhood of  $a_2$  and exchanges the other two punctures.  $t_{b_1}$  is defined similarly. The pseudo-Anosov map we take is  $\phi = T_{a_1} t_{a_2} t_{b_1}^{-1} T_{b_2}^{-1} T_{a_1}^2 t_{a_2} t_{b_1}^{-3} T_{b_2}^{-1}$ .  $\phi$  does not come from Penner's construction, since it contains half twist. However, Penner's construction of bigon track still works here. We define  $\hat{\mathcal{D}}(a^+, b^-)$  to be augmented semigroup of  $\mathcal{D}(a^+, b^-)$ , which also contains half Dehn twists, then  $\phi \in \hat{\mathcal{D}}(a^+, b^-)$ . Our  $\phi$  is generic in this context, and we can still use  $\Phi$  to compute the dilatation function.

The example for  $\Sigma_{0,5}$  is the pseudo-Anosov map  $\phi$  given by braid as shown in Figure 9 (b) with word  $\sigma_1 \sigma_3 \sigma_2^{-1}$ . This  $\phi$  is generated by half Dehn-twists along two families of curves  $a^+$  and  $b^-$ , so it lies in  $\hat{\mathcal{D}}(a^+, b^-)$ . However, this  $\phi$  is not generic. We can construct the invariant train track explicitly and compute  $\Theta_F$ . In this example, we need to compute the Alexander Polynomial of the manifold to compute Thurston norm (see [McM2] Theorem 1.1).

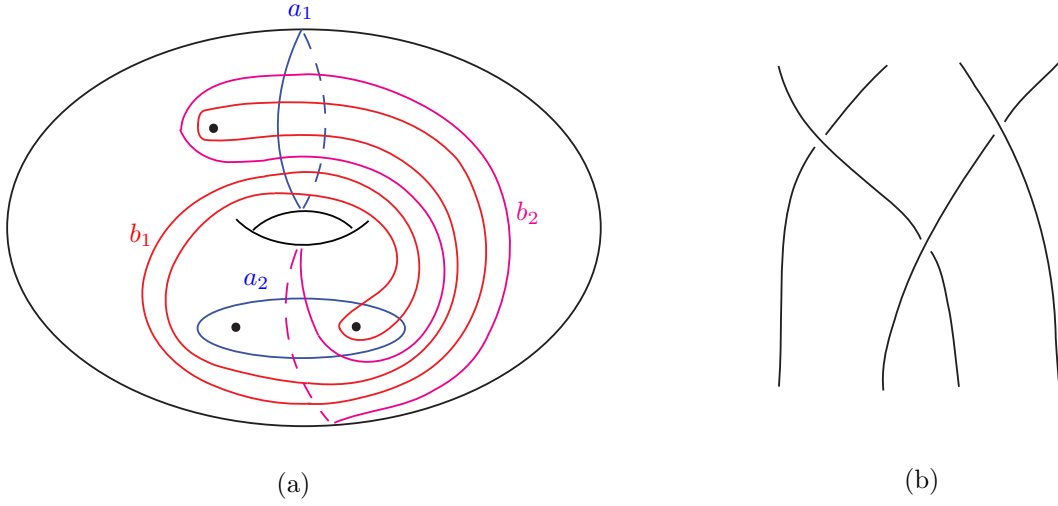


Figure 9

### 8. $A(S, \phi)$ IS NOT DEFINED FOR MANIFOLD

We defined  $A(S, \phi)$  as an invariant of pseudo-Anosov map, and also an invariant  $A_C$  for fibered cone  $C \subset H^1(N; \mathbb{R})$ . So a natural question is, for different fibered cones of the same manifold  $C_1, C_2 \subset H^1(N; \mathbb{R})$ , whether  $A_{C_1} = A_{C_2}$  always hold. In this section, we will give an example to show that the above equality does not always hold.

Our example comes from Dehn-filling of one cusp of the magic manifold  $N$ . The magic manifold is the complement of a 3-component link in Figure 10 (a). In [KKT], the authors are interested in the dilatation of monodromy of those surface bundles over circle obtained by Dehn-filling of the magic manifold. From those examples, they got some new examples of small dilatation pseudo-Anosov maps and some estimation on asymptotic property of minimal dilatation pseudo-Anosov maps.

Let  $F_\alpha, F_\beta, F_\gamma$  be the two punctured discs bounded by  $\alpha, \beta, \gamma$  respectively, which lie on the plane of Figure 10(a) and their orientation are chosen to be pointing out of the paper. Then  $([F_\alpha], [F_\beta], [F_\gamma])$  is a basis of  $H_2(N, \partial N; \mathbb{Z}) = \mathbb{Z}^3$ . An element  $x[F_\alpha] + y[F_\beta] + z[F_\gamma] \in H_2(N, \partial N; \mathbb{Z}) \cong H^1(N; \mathbb{Z})$  is written as  $(x, y, z)$ .

In section 2 of [KKT], the topological property of Dehn-filling of  $\beta$  curve is studied. Let's take the  $-\frac{7}{2}$  Dehn-filling of  $\beta$  curve, the resulted manifold is denoted by  $N(-\frac{7}{2})$ . Here the  $-\frac{7}{2}$  slope is given by the intersection of  $(7, 2, 0) \in H_2(N, \partial N; \mathbb{Z})$  with the boundary torus of  $N(\beta)$ . Then by Lemma 2.15 of [KKT],  $a = (4, 2, 3), b = (3, 2, 4) \in H_2(N, \partial N; \mathbb{Z})$  give a basis of  $H_2(N(-\frac{7}{2}), \partial N(-\frac{7}{2}); \mathbb{Z})$ , which is also denoted by  $a$  and  $b$ .

By Lemma 2.16 of [KKT], the ball of  $H_2(N(-\frac{7}{2}), \partial N(-\frac{7}{2}); \mathbb{Z})$  with Thurston norm 4 is a hexagon as in Figure 10 (b), with vertices  $\pm(2, -1), \pm(1, -2), \pm(2, -2)$ . All the faces of the hexagon in Figure 10 (b) are fibered faces.

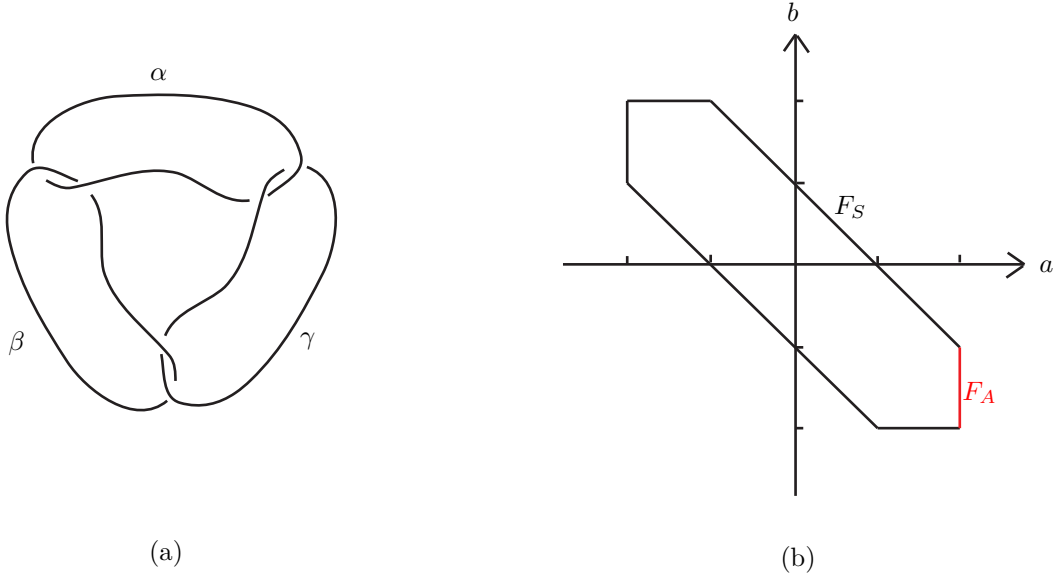


Figure 10

The face  $F_S$  is an *s-face* (symmetric face) as the definition in section 2.5.2 of [KKT]. By Remark 3.3 of [KKT], the minimal point of  $\lambda(\cdot)$  on  $F_S$  is the middle point of  $F_S$ , which is rational. Let  $C_S$  be the fibered cone associate with  $F_S$ , then  $A_{C_S} = \mathbb{Q}$ .

Now let's turn to think about the face  $F_A$  as shown in Figure 10 (b), the two boundary points of  $F_A$  is  $(2, -1)$  and  $(2, -2)$ . These two points correspond to  $(5, 2, 2)$  and  $(2, 0, -2)$  in  $H^1(N; \mathbb{Z})$ . By [KT], the fibered cone  $C$  of magic manifold  $N$  containing  $(5, 2, 2)$  and  $(2, 0, -2)$  on the boundary has Teichmuller polynomial  $\Theta = xyz^{-1} - x - y - xy^{-1} - xz^{-1} + 1$ , here  $(x, y, z)$  is a basis of  $H_1(N; \mathbb{Z})$  dual with  $([F_\alpha], [F_\beta], [F_\gamma])$ .

Let the minimal point be  $m_F = t(2, -1) + (1-t)(2, -2) \in H^1(N(-\frac{7}{2}); \mathbb{Z})$ , which is equal to  $(2 + 3t, 2t, 4t - 2) \in H^1(N; \mathbb{Z})$ . So  $\lambda(m_F)$  is the greatest root of  $0 = X^{t+4} - X^{3t+2} - X^{2t} - X^{-t+4} - X^{-2t+2} + 1$ . By numerical computation of the minimal point by Mathematica ([Math]), we get  $t = 0.528944\dots$ , while  $2/t = 3.781116\dots$ . By the algebraic number theory method, we get that, if  $2/t$  is a rational number, the denominator is smaller or equal to 16. It is not impossible, so  $A_{C_A} \neq \mathbb{Q}$ .

In Section 2.5.2 of [KKT], they pointed out that all the A-faces of  $N(r)$  are *entropy equivalent*. So for  $N(-\frac{7}{2})$ , one pair of fibered faces have  $A_C = \mathbb{Q}$ , while two other pairs have  $A_C \neq \mathbb{Q}$  and these two pairs share the same invariant. So our invariant  $A_C$  is not well defined for the whole manifold, but only for each fibered cone.

*Remark 8.1.* In Lemma 3.5 of [KKT], they have shown that all the fibered faces of  $N(-\frac{5}{2})$  have rational minimal point. So we take  $N(-\frac{7}{2})$  here, which is somehow the "closest" example to  $N(-\frac{5}{2})$ .

In the proof of Lemma 3.6 of [KKT], they have tried to find the minimal point of A-face of  $N(-\frac{2}{3})$  with computing  $\lambda(\cdot)$  for some "very large" homology classes. It seems that they have realized the minimal point there may not be rational, but did not find a proper algebraic tool to prove it.

## 9. FURTHER QUESTIONS

1. Although  $A(S, \phi) \neq \mathbb{Q}$  seems to be a general phenomenon, it is still quite mysterious what does it mean. All the proof in this paper either does not give explicit example, or requires numerical computation to deduce irrationality. These two process both do not help the author much to understand the meaning of irrationality. A more sophisticated method to determine whether  $A(S, \phi) = \mathbb{Q}$  would help us to understand the question much deeper. For all the examples we give in this paper, the rationality of minimal point comes from symmetry, i.e. the rational minimal point  $m_F$  is the unique fixed point of an nice involution  $\tau$  of the fibered face  $F$ . So another question is: whether rational  $m_F$  implies any kind of symmetry.

2. Theorem 1.2 tells us, for all closed or one punctured surfaces with  $|\chi(S)| \geq 3$ , there exist pseudo-Anosov  $\phi$  on  $S$  with  $A(S, \phi) \neq \mathbb{Q}$ . By the evident of drilling Theorem (Theorem 4.2) and our numerical experiments, it is easy to believe that the same result hold for all surfaces with  $|\chi(S)| \geq 3$ . However, even if one example of  $A(S, \phi) \neq \mathbb{Q}$  gives us a family of such examples, we still do not have enough examples to show that  $A(S, \phi)$  can be irrational for all surfaces with  $|\chi(S)| \geq 3$ .

3. The Drilling Theorem (Branched Covering Theorem) tells us that drilling (branched covering) deduce irrationality for all but finitely many drilling (branched covering) classes. However, from the proof of the theorem, the number of "exceptional" drilling class is very large and depend on the pseudo-Anosov map numerically. The author would like to know, whether there is any better bound which maybe only depend on the surface  $S$  and dilatation  $\lambda$ , or even whether there is a universal bound.

4. In all the examples in this paper, the  $\mathbb{Q}$ -rank of  $A(S, \phi)$  is either one or two. The author does not have an example with higher rank  $A(S, \phi)$  yet. Furthermore, whether  $A(S, \phi)$  can be arbitrarily large? The author believes that one can still do drilling construction to surface bundle with large  $b_1$  to get large rank  $A(S, \phi)$ , but does not an example yet. The rank of  $A(S, \phi)$  also provides a simple invariant up to fibered cone commensurability, e.g. if  $A(S, \phi)$  has large rank, then  $(S, \phi)$  is not fibered cone commensurable with  $(S', \phi')$  with small Euler characteristic surface  $S'$ .

5. Since Virtual Fiber Conjecture holds (see [Wi], [Ag2]), every hyperbolic 3-manifold with finite volume has a finite cover which is a surface bundle over circle. So for any commensurable class  $\mathcal{C}$ , our invariant  $A_{\mathcal{C}}$  is defined for a fibered cone  $C$  of some  $M \in \mathcal{C}$ . Then for any commensurability class  $\mathcal{C}$  of hyperbolic 3-manifold, we can ask many questions. For example, for any commensurability class  $\mathcal{C}$ , whether there is a surface bundle over circle  $M \in \mathcal{C}$ , which has a fibered face  $C$ , with  $A_C = \mathbb{Q}$ ? For every commensurability class  $\mathcal{C}$ , we can also define a  $\mathbb{Q}$ -submodule of  $\mathbb{R}$ , which is defined by  $A_{\mathcal{C}} = \sum A_C$ , here  $C$  runs over all the fibered cones of all the manifolds in the commensurability class  $\mathcal{C}$ . Since hyperbolic 3-manifolds have virtually RFRS (residually finite rational solvable) fundamental group (see [Ag1] and [Ag2]),  $\mathcal{C}$  has virtually infinite first betti number. Moreover, for a fixed manifold  $N$ , we can always find a finite cover, which has a fibered cone not containing the image of any fibered cone of  $N$ . So  $A_{\mathcal{C}} = \sum A_C$  is a sum of infinitely terms. Then it is natural to ask, whether  $A_{\mathcal{C}} \neq \mathbb{Q}$  for any commensurability class  $\mathcal{C}$ . Moreover, we can also ask: whether  $A_{\mathcal{C}}$  is always an infinitely generated  $\mathbb{Q}$ -submodule of  $\mathbb{R}$ .

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